

PATENT COOPERATION TREATY

PCT

NOTIFICATION OF ELECTION

(PCT Rule 61.2)

From the INTERNATIONAL BUREAU

To:

Commissioner
US Department of Commerce
United States Patent and Trademark
Office, PCT
2011 South Clark Place Room
CP2 5C24
Arlington, VA 22202
ETATS-UNIS D'AMERIQUE
in its capacity as elected Office

Date of mailing: 29 March 2001 (29.03.01)	
International application No.: PCT/AU00/01133	Applicant's or agent's file reference: FP13295
International filing date: 18 September 2000 (18.09.00)	Priority date: 17 September 1999 (17.09.99)
Applicant: FUKASE, Hisahiko et al	

1. The designated Office is hereby notified of its election made:

☒ in the demand filed with the International preliminary Examining Authority on:
01 December 2000 (01.12.00)

☐ in a notice effecting later election filed with the International Bureau on:

2. The election ☒ was
☐ was not

made before the expiration of 19 months from the priority date or, where Rule 32 applies, within the time limit under Rule 32.2(b).

The International Bureau of WIPO 34, chemin des Colombettes 1211 Geneva 20, Switzerland Facsimile No.: (41-22) 740.14.35	Authorized officer: J. Zahra Telephone No.: (41-22) 338.83.38
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PATENT COOPERATION TREATY

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From the INTERNATIONAL BUREAU

NOTIFICATION OF THE RECORDING
OF A CHANGE(PCT Rule 92bis.1 and
Administrative Instructions, Section 422)

To:

GRIFFITH HACK
509 St Kilda Road
Melbourne, VIC 3004
AUSTRALIE

Date of mailing (day month year)

14 December 2001 (14.12.01)

Applicant's or agent's file reference

FP13295

IMPORTANT NOTIFICATION

International application No.

PCT/AU00/01133

International filing date (day month year)

18 September 2000 (18.09.00)

1. The following indications appeared on record concerning:



the applicant



the inventor



the agent



the common representative

Name and Address

CASTRIP, LLC
c/o Nucor
2100 Rexford Road
Charlotte, NC 28211
United States of America
(For all designated States except AU, ID, NZ, US,
VN, SG)

State of Nationality

US

State of Residence

US

Telephone No.

Facsimile No.

Teleprinter No.

2. The International Bureau hereby notifies the applicant that the following change has been recorded concerning:



the person



the name



the address



the nationality



the residence

Name and Address

BHP STEEL (JLA) PTY LTD
1 York Street
Sydney, NSW 2000
Australia
(For AU, ID, NZ, VN, SG)

State of Nationality

AU

State of Residence

AU

Telephone No.

Facsimile No.

Teleprinter No.

3. Further observations, if necessary:

The status has been changed. CASTRIP, LLC is no longer applicant for SG.

4. A copy of this notification has been sent to:



the receiving Office



the designated Offices concerned



the International Searching Authority



the elected Offices concerned



the International Preliminary Examining Authority



other:

The International Bureau of WIPO
34, chemin des Colombettes
1211 Geneva 20, Switzerland

Authorized officer

Céline Faust

Facsimile No.: (41-22) 740.14.35

Telephone No.: (41-22) 338.83.38

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PATENT COOPERATION TREATY

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INTERNATIONAL SEARCH REPORT

(PCT Article 18 and Rules 43 and 44)

Applicants or agent's file reference fp13295	FOR FURTHER ACTION	see Notification of Transmittal of International Search Report (Form PCT/ISA 220) as well as, where applicable, item 5 below
International application No. PCT/AU00/01133	International filing date (day month year) 18 September 2000	Earliest Priority Date (day month year) 17 September 1999
Applicant ISHIKAWAJIMA-HARIMA HEAVY INDUSTRIES COMPANY LIMITED et al		

This international search report has been prepared by this International Searching Authority and is transmitted to the applicant according to Article 18. A copy is being transmitted to the International Bureau.

This international search report consists of a total of 3 sheets.

☒ It is also accompanied by a copy of each prior art document cited in this report.

1. Basis of the report

- a. With regard to the **language**, the international search was carried out on the basis of the international application in the language in which it was filed, unless otherwise indicated under this item.

☐ the international search was carried out on the basis of a translation of the international application furnished to this Authority (Rule 23.1(b)).

- b. With regard to any **nucleotide and/or amino acid sequence** disclosed in the international application, the international search was carried out on the basis of the sequence listing:

☐ contained in the international application in written form.

☐ filed together with the international application in computer readable form.

☐ furnished subsequently to this Authority in written form.

☐ furnished subsequently to this Authority in computer readable form.

☐ the statement that the subsequently furnished written sequence listing does not go beyond the disclosure in the international application as filed has been furnished.

☐ the statement that the information recorded in computer readable form is identical to the written sequence listing has been furnished.

2. ☐ **Certain claims were found unsearchable** (See Box I).

3. ☐ **Unity of invention is lacking** (See Box II).

4. With regard to the **title**, ☒ the text is approved as submitted by the applicant.

☐ the text has been established by this Authority to read as follows:

5. With regard to the **abstract**, ☒ the text is approved as submitted by the applicant.

☐ the text has been established, according to Rule 38.2(b), by this Authority as it appears in Box III. The applicant may, within one month from the date of mailing of this international search report, submit comments to this Authority.

6. The figure of the **drawings** to be published with the abstract is Figure No. 1

☒ as suggested by the applicant.

☐ None of the figures

☐ because the applicant failed to suggest a figure

☐ because this figure better characterizes the invention



A. CLASSIFICATION OF SUBJECT MATTER

Int. Cl. B22D 11/06

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHEDMinimum documentation searched (classification system followed by classification symbols)
B22D 11/06Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched
B22D 11/06Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)
Derwent**C. DOCUMENTS CONSIDERED TO BE RELEVANT**

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	EP 903190 A2 (ISHIKAWAJIMA-HARIMA HEAVY INDUSTRIES CO., LTD. et al) 24 March 1999 See Abstract	1-7
X	EP 903191 A2 (ISHIKAWAJIMA-HARIMA HEAVY INDUSTRIES CO., LTD. et al) 24 March 1999 See Abstract	1-7
A	Patent abstracts of Japan, JP11057953 A (ISHIKAWAJIMA-HARIMA HEAVY INDUSTRIES CO., LTD. et al) 2 March 1999 See Abstract	1-7

☐ Further documents are listed in the continuation of Box C
 ☒ See patent family annex

* Special categories of cited documents:	
"A" document defining the general state of the art which is not considered to be of particular relevance	"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
"E" earlier application or patent but published on or after the international filing date	"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
"I" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)	"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
"C" document referring to an oral disclosure, use, exhibition or other means	"&" document member of the same patent family
"F" document published prior to the international filing date but later than the priority date claimed	

Date of the actual completion of the international search
11 October 2000Date of mailing of the international search report
20 OCT 2000Name and mailing address of the ISA/AU
AUSTRALIAN PATENT OFFICE
PO BOX 200, WODEN ACT 2606, AUSTRALIA
E-mail address: pct@ipaaustralia.gov.au
Facsimile No. (02) 6285 3929Authorized officer

ROGER HOWE
Telephone No. (02) 6283 2159



INTERNATIONAL SEARCH REPORT
Information on patent family members

International application No
PCT/AU00/01133

This Annex lists the known "A" publication level patent family members relating to the patent documents cited in the above-mentioned international search report. The Australian Patent Office is in no way liable for these particulars which are merely given for the purpose of information.

Patent Document Cited in Search Report		Patent Family Member (To put a line under the citations tab to the first point on the next row and press F8)					
EP	903190	AU	84244/98	AU	85185/98	AU	85199/98
		CN	1213594	EP	903191	EP	947261
		JP	11156493	JP	11156494	JP	11156495
EP	903191	AU	84244/98	AU	85185/98	AU	85199/98
		CN	1213594	EP	903190	EP	947261
		JP	11156493	JP	11156494	JP	11156495
JP	11057953	NONE					
							END OF ANNEX

(To add more lines press TAB at end of last row, remove paragraph marker to join up 'END OF ANNEX' box)

From the
INTERNATIONAL PRELIMINARY EXAMINING AUTHORITY

PCT

NOTIFICATION OF TRANSMITTAL OF
INTERNATIONAL PRELIMINARY EXAMINATION
REPORT

(PCT Rule 71.1)

Date of mailing 5 APRIL 2001
18 SEP 2000

Applicant's or agent's file reference

EP13295

IMPORTANT NOTIFICATION

International Application No.

PCT/AU00/01133

International Filing Date

18 September 2000

Priority Date

17 September 1999

Applicant

ISHIKAWAJIMA-HARIMA HEAVY INDUSTRIES COMPANY LIMITED et al

PQ2911

1. The applicant is hereby notified that the International Preliminary Examining Authority transmits herewith the international preliminary examination report and its annex, if any, established on the international application.
2. A copy of the report and its annex, if any, is being transmitted to the International Bureau for communication to all the elected Offices.
3. Where required by any of the elected Offices, the International Bureau will prepare an English translation of the report (but not of any annexes) and will transmit such translations to those Offices.

4. REMINDER

The applicant must enter the national phase before each elected Office by performing certain acts (filing translations and paying national fees) within 30 months from the priority date (or later in some Offices) (Article 39(1)) (see also the reminder sent by the International Bureau with Form PCT/IB 301).

Where a translation of the international application must be furnished to an elected Office, that translation must contain a translation of any annexes to the international preliminary examination report. It is the applicant's responsibility to prepare and furnish such translation directly to each elected Office concerned.

For further details on the applicable time limits and requirements of the elected Offices, see Volume II of the PCT Applicant's Guide.

Name and mailing address of the IPEA AU

AUSTRALIAN PATENT OFFICE
PO BOX 200, WODEN, ACT 2600, AUSTRALIA
E-mail address: pct@ipaustralia.gov.au
Facsimile No. (02) 6285 3929

Authorized officer

Roger Howe
Telephone No. (02) 6283 2159



PATENT COOPERATION TREATY
PCT
INTERNATIONAL PRELIMINARY EXAMINATION REPORT
(PCT Article 36 and Rule 70)

Applicant's or agent's file reference FP13295	FOR FURTHER ACTION	See Notification of Transmittal of International Preliminary Examination Report (Form PCT/IPEA 416).
International Application No. PCT/AU00/01133	International Filing Date (<i>day month year</i>) 18 September 2000	Priority Date (<i>day month year</i>) 17 September 1999
International Patent Classification (IPC) or national classification and IPC Int. Cl. ⁷ B22D 11/06		
Applicant ISHIKAWAJIMA-HARIMA HEAVY INDUSTRIES COMPANY LIMITED et al		

1.	This international preliminary examination report has been prepared by this International Preliminary Examining Authority and is transmitted to the applicant according to Article 36.																								
2.	This REPORT consists of a total of 3 sheets, including this cover sheet. <input checked="" type="checkbox"/> This report is also accompanied by ANNEXES, i.e., sheets of the description, claims and/or drawings which have been amended and are the basis for this report and or sheets containing rectifications made before this Authority (see Rule 70.16 and Section 607 of the Administrative Instructions under the PCT). These annexes consist of a total of 4 sheet(s).																								
3.	This report contains indications relating to the following items: <table style="width: 100%;"> <tr> <td style="width: 5%;">I</td> <td style="width: 5%; text-align: center;"><input checked="" type="checkbox"/></td> <td style="width: 90%;">Basis of the report</td> </tr> <tr> <td>II</td> <td style="text-align: center;"><input type="checkbox"/></td> <td>Priority</td> </tr> <tr> <td>III</td> <td style="text-align: center;"><input type="checkbox"/></td> <td>Non-establishment of opinion with regard to novelty, inventive step and industrial applicability</td> </tr> <tr> <td>IV</td> <td style="text-align: center;"><input type="checkbox"/></td> <td>Lack of unity of invention</td> </tr> <tr> <td>V</td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td>Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement</td> </tr> <tr> <td>VI</td> <td style="text-align: center;"><input type="checkbox"/></td> <td>Certain documents cited</td> </tr> <tr> <td>VII</td> <td style="text-align: center;"><input type="checkbox"/></td> <td>Certain defects in the international application</td> </tr> <tr> <td>VIII</td> <td style="text-align: center;"><input type="checkbox"/></td> <td>Certain observations on the international application</td> </tr> </table>	I	<input checked="" type="checkbox"/>	Basis of the report	II	<input type="checkbox"/>	Priority	III	<input type="checkbox"/>	Non-establishment of opinion with regard to novelty, inventive step and industrial applicability	IV	<input type="checkbox"/>	Lack of unity of invention	V	<input checked="" type="checkbox"/>	Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement	VI	<input type="checkbox"/>	Certain documents cited	VII	<input type="checkbox"/>	Certain defects in the international application	VIII	<input type="checkbox"/>	Certain observations on the international application
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VIII	<input type="checkbox"/>	Certain observations on the international application																							

Date of submission of the demand 1 December 2000	Date of completion of the report 2 April 2001
Name and mailing address of the IPEA AU AUSTRALIAN PATENT OFFICE PO BOX 200, WODEN ACT 2606, AUSTRALIA E-mail address: pct@ipaaustralia.gov.au Facsimile No. (02) 6285 3929	Authorized Officer Roger Howe Telephone No. (02) 6283 2159



I. Basis of the report

1. With regard to the elements of the international application:*

- ☐ the international application as originally filed.
- ☒ the description, pages **1-2, 5-15**, as originally filed.
pages , filed with the demand.
pages **3, 4**, received on **27 March 2001** with the letter of **23 March 2001**
- ☒ the claims, pages , as originally filed.
pages , as amended (together with any statement) under Article 19.
pages , filed with the demand.
pages **16-17**, received on **27 March 2001** with the letter of **23 March 2001**
- ☒ the drawings, pages **1/9 - 9/9**, as originally filed,
pages , filed with the demand,
pages , received on with the letter of
- ☐ the sequence listing part of the description:
pages , as originally filed
pages , filed with the demand
pages , received on with the letter of

2. With regard to the language, all the elements marked above were available or furnished to this Authority in the language in which the international application was filed, unless otherwise indicated under this item.

These elements were available or furnished to this Authority in the following language which is:

- ☐ the language of a translation furnished for the purposes of international search (under Rule 23.1(b)).
- ☐ the language of publication of the international application (under Rule 48.3(b)).
- ☐ the language of the translation furnished for the purposes of international preliminary examination (under Rules 55.2 and/or 55.3).

3. With regard to any nucleotide and/or amino acid sequence disclosed in the international application, was on the basis of the sequence listing:

- ☐ contained in the international application in written form.
- ☐ filed together with the international application in computer readable form.
- ☐ furnished subsequently to this Authority in written form.
- ☐ furnished subsequently to this Authority in computer readable form.
- ☐ The statement that the subsequently furnished written sequence listing does not go beyond the disclosure in the international application as filed has been furnished.
- ☐ The statement that the information recorded in computer readable form is identical to the written sequence listing has been furnished

4. ☐ The amendments have resulted in the cancellation of:

- ☐ the description, pages
- ☐ the claims, Nos.
- ☐ the drawings, sheets fig.

5. ☐ This report has been established as if (some of) the amendments had not been made, since they have been considered to go beyond the disclosure as filed, as indicated in the Supplemental Box (Rule 70.2(c)).**

* Replacement sheets which have been furnished to the receiving Office in response to an invitation under Article 14 are referred to in this report as "originally filed" and are not annexed to this report since they do not contain amendments (Rules 70.16 and 70.17).

** Any replacement sheet containing such amendments must be referred to under item 1 and annexed to this report



V. Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement

1. Statement

Novelty (N)	Claims 1-7	YES
	Claims	NO
Inventive step (IS)	Claims 1-7	YES
	Claims	NO
Industrial applicability (IA)	Claims 1-7	YES
	Claims	NO

2. Citations and explanations (Rule 70.7)

Novelty (N), Inventive Step (IS) Claims 1-7

The two citations listed in the International Search Report disclose only apparatus for continuous casting. Implicit within these disclosures are specific methods for using the apparatus. For example, in EP903190 there is disclosed:

- Holding the rolls in a parallel relationship so as to form a nip between them and such that at least one of the rolls is moveable bodily and laterally relative to the other roll - paragraph 0004 lines 1-14.
- Continuously biasing the one roll laterally towards the other roll - paragraph 0004 lines 12-14 and lines 13 onwards.
- Setting an initial gap between the rolls at the nip, which is less than the thickness of the strip to be cast - paragraph 0004 lines 15-16. This adjustable stop provides a minimum gap width and therefore the thickness of the strip to be cast must be at least this thickness and as point 1 above suggests the movement apart of the rolls, it is less than the normal strip casting thickness.
- Rotating the rolls in mutually opposite directions - paragraph 0004 lines 9-10.
- Pouring molten metal into the nip so as to form a casting pool of molten metal supported on the rolls above the nip - see paragraph 0026.

The citations, however, do not disclose the features of the start-up procedure of the apparatus they disclose. It would be implicit that the speed of rotation of the rolls was controlled to determine the casting thickness - see paragraph 0029 and paragraph 0003. There is, however, no disclosure and nor is it obvious to a person skilled in the art, that during start-up of the continuous casting, the initial strip produced has a thickness greater than the initial gap between the rolls and thus with the initial casting, the rolls are spread apart. Thus the claimed invention is novel and contains an inventive step.



parallel roll surfaces and an even gap during start up. However, when casting thin steel strip it has been found necessary to employ rolls with machined crowns. More specifically, in order to produce flat strip, the rolls must be machined with a negative crown, ie. the peripheral surface of each roll must have a smaller radius at its central part than at its ends, so that when the rolls undergo thermal expansion during casting they become generally flat so as to produce flat strip. The prior proposals involving an imposed gap control have generally not enabled successful start up with crowned rolls. The present invention provides an improved method in which the gap between the rolls during the casting start up is not imposed, but is responsive to the thickness of the metal being cast during the start up process. The invention makes it possible to use crowned rolls and also enables greater flexibility of casting speed control for optimisation of metal solidification conditions and rate of fill of the casting pool.

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DISCLOSURE OF THE INVENTION

According to the invention there is provided a method of casting metal strip comprising:

holding a pair of chilled casting rolls in parallel relationship so as to form a nip between them and such that at least one of the rolls is moveable bodily and laterally relative to the other roll,

continuously biasing said one roll laterally toward the other roll,

setting an initial gap between the rolls at the nip which is less than the thickness of the strip to be cast,

rotating the rolls in mutually opposite directions such that the peripheral surfaces of the rolls travel downwardly at the nip between them,

pouring molten metal into the nip between the rotating rolls so as to form a casting pool of molten metal



supported on the rolls above the nip and controlling the speed of rotation of the rolls so as to establish casting of a strip delivered downwardly from the nip which at the outset of casting is produced to a thickness which is greater than the initial gap between the rolls so that the initially formed strip forces said one roll bodily away from the other roll against the continuous bias to increase the gap between the rolls to accommodate the thickness of the initially cast strip, and

continuing casting to produce strip at said thickness and with the gap between the rolls increased beyond the initial gap.

Preferably, the peripheral surfaces of the rolls are negatively crowned when cold by being formed at their midparts to a radius which is less than the radius of end parts of those surfaces, the initial gap being set such that the end parts of the peripheral surfaces of rolls are spaced apart by no more than 1.5mm.

Preferably, the initial spacing between the end parts of the rolls is in the range 0.2 to 1.4mm.

The radial negative crown for each roll, being the difference in radius of the midpart and said end parts of the roll surface, may be in the range of 0.1 to 1.5mm.

Preferably, said other roll is held against lateral bodily movement, said one roll is mounted on a pair of moveable roll carriers which allow said one roll to move bodily laterally of the other roll and said one roll is continuously biased laterally toward the other roll by application of biasing forces to the moveable roll carriers.

The initial gap between the rolls may be set by positioning of a stop means to limit bodily movement of said one roll toward the other. The stop means may for example be a stop which can be set to be engaged by one or both of the moveable roll carriers.

The biasing forces may be applied to the moveable roll carriers by means of biasing springs.



CLAIMS

1. A method of casting metal strip comprising:
holding a pair of chilled casting rolls in
parallel relationship so as to form a nip between them and
such that at least one of the rolls is moveable bodily and
laterally relative to the other roll,
continuously biasing said one roll laterally
toward the other roll,

setting an initial gap between the rolls at the
nip which is less than the thickness of the strip to be
cast,

rotating the rolls in mutually opposite
directions such that the peripheral surfaces of the rolls
travel downwardly at the nip between them,

pouring molten metal into the nip between the
rotating rolls so as to form a casting pool of molten metal
supported on the rolls above the nip and controlling the
speed of rotation of the rolls so as to establish casting
of a strip delivered downwardly from the nip which at the
outset of casting is produced to a thickness which is
greater than the initial gap between the rolls so that the
initially formed strip forces said one roll bodily away
from the other roll against the continuous bias to increase
the gap between the rolls to accommodate the thickness of
the initially cast strip, and

continuing casting to produce strip at said
thickness and with the gap between the rolls increased
beyond the initial gap.

2. A method as claimed in claim 1, wherein the
peripheral surfaces of the rolls are negatively crowned
when cold by being formed at their midparts to a radius
which is less than the radius of end parts of those
surfaces, the initial gap being set such that the end parts
of the peripheral surfaces of rolls are spaced apart by no
more than 1.5mm.

3. A method as claimed in claim 2, wherein the
spacing between the end parts of the rolls is in the range



0.5 to 1.4mm.

4. A method as claimed in claim 2 or claim 3, wherein the radial negative crown for each roll is in the range 0.1 to 1.5mm.

5 5. A method as claimed in any one of the preceding claims, wherein said other roll is held against lateral
bodily movement, said one roll is mounted on a pair of
moveable roll carriers which allow said one roll to move
bodily laterally of the other roll and said one roll is
10 continuously biased laterally toward the other roll by
application of biasing forces to the moveable roll
carriers.

6. A method as claimed in any one of the preceding
claims, wherein the initial gap between the rolls is set by
15 positioning of a stop means to limit bodily movement of
said one roll toward the other.

7. A method as claimed in claim 6, wherein the stop
means is a stop which is set so as to be engaged by one or
both of the moveable roll carriers.



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PATENT COOPERATION TREATY
PCT
INTERNATIONAL PRELIMINARY EXAMINATION REPORT

(PCT Article 36 and Rule 70)

REC'D 11 APR 2001

WIPO

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Applicant's or agent's file reference FP13295	FOR FURTHER ACTION	See Notification of Transmittal of International Preliminary Examination Report (Form PCT IPEA/416).
International Application No. PCT/AU00/01133	International Filing Date (<i>day/month/year</i>) 18 September 2000	Priority Date (<i>day/month/year</i>) 17 September 1999
International Patent Classification (IPC) or national classification and IPC Int. Cl. ⁷ B22D 11/06		
Applicant ISHIKAWAJIMA-HARIMA HEAVY INDUSTRIES COMPANY LIMITED et al		

1.	This international preliminary examination report has been prepared by this International Preliminary Examining Authority and is transmitted to the applicant according to Article 36.	
2.	This REPORT consists of a total of 3 sheets, including this cover sheet.	
	<input checked="" type="checkbox"/>	This report is also accompanied by ANNEXES, i.e., sheets of the description, claims and/or drawings which have been amended and are the basis for this report and/or sheets containing rectifications made before this Authority (see Rule 70.16 and Section 607 of the Administrative Instructions under the PCT).
These annexes consist of a total of 4 sheet(s).		
3.	This report contains indications relating to the following items:	
I	<input checked="" type="checkbox"/>	Basis of the report
II	<input type="checkbox"/>	Priority
III	<input type="checkbox"/>	Non-establishment of opinion with regard to novelty, inventive step and industrial applicability
IV	<input type="checkbox"/>	Lack of unity of invention
V	<input checked="" type="checkbox"/>	Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement
VI	<input type="checkbox"/>	Certain documents cited
VII	<input type="checkbox"/>	Certain defects in the international application
VIII	<input type="checkbox"/>	Certain observations on the international application

Date of submission of the demand 1 December 2000	Date of completion of the report 2 April 2001
Name and mailing address of the IPEA/AU AUSTRALIAN PATENT OFFICE PO BOX 200, WODEN ACT 2606, AUSTRALIA E-mail address: pct@ipaustalia.gov.au Facsimile No. (02) 6285 3929	Authorized Officer Roger Howe Telephone No. (02) 6283 2159



I. Basis of the report

1. With regard to the **elements** of the international application:*
- ☐ the international application as originally filed.
- ☒ the description, pages **1-2, 5-15**, as originally filed.
pages , filed with the demand.
pages **3, 4**, received on **27 March 2001** with the letter of **23 March 2001**
- ☒ the claims, pages , as originally filed,
pages , as amended (together with any statement) under Article 19,
pages , filed with the demand,
pages **16-17**, received on **27 March 2001** with the letter of **23 March 2001**
- ☒ the drawings, pages **1/9 - 9/9**, as originally filed,
pages , filed with the demand,
pages , received on with the letter of
- ☐ the sequence listing part of the description:
pages , as originally filed
pages , filed with the demand
pages , received on with the letter of
2. With regard to the **language**, all the elements marked above were available or furnished to this Authority in the language in which the international application was filed, unless otherwise indicated under this item.
These elements were available or furnished to this Authority in the following language which is:
- ☐ the language of a translation furnished for the purposes of international search (under Rule 23.1(b)).
- ☐ the language of publication of the international application (under Rule 48.3(b)).
- ☐ the language of the translation furnished for the purposes of international preliminary examination (under Rules 55.2 and/or 55.3).
3. With regard to any **nucleotide and/or amino acid sequence** disclosed in the international application, was on the basis of the sequence listing:
- ☐ contained in the international application in written form.
- ☐ filed together with the international application in computer readable form.
- ☐ furnished subsequently to this Authority in written form.
- ☐ furnished subsequently to this Authority in computer readable form.
- ☐ The statement that the subsequently furnished written sequence listing does not go beyond the disclosure in the international application as filed has been furnished.
- ☐ The statement that the information recorded in computer readable form is identical to the written sequence listing has been furnished
4. ☐ The amendments have resulted in the cancellation of:
- ☐ the description, pages
- ☐ the claims, Nos.
- ☐ the drawings, sheets/fig.
5. ☐ This report has been established as if (some of) the amendments had not been made, since they have been considered to go beyond the disclosure as filed, as indicated in the Supplemental Box (Rule 70.2(c)).**

* Replacement sheets which have been furnished to the receiving Office in response to an invitation under Article 14 are referred to in this report as "originally filed" and are not annexed to this report since they do not contain amendments (Rules 70.16 and 70.17).

** Any replacement sheet containing such amendments must be referred to under item 1 and annexed to this report



V. Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement

1. Statement

Novelty (N)	Claims 1-7	YES
	Claims	NO
Inventive step (IS)	Claims 1-7	YES
	Claims	NO
Industrial applicability (IA)	Claims 1-7	YES
	Claims	NO

2. Citations and explanations (Rule 70.7)

Novelty (N), Inventive Step (IS) Claims 1-7

The two citations listed in the International Search Report disclose only apparatus for continuous casting. Implicit within these disclosures are specific methods for using the apparatus. For example, in EP903190 there is disclosed:

- Holding the rolls in a parallel relationship so as to form a nip between them and such that at least one of the rolls is moveable bodily and laterally relative to the other roll - paragraph 0004 lines 1-14.
- Continuously biasing the one roll laterally towards the other roll - paragraph 0004 lines 12-14 and lines 18 onwards.
- Setting an initial gap between the rolls at the nip, which is less than the thickness of the strip to be cast - paragraph 0004 lines 15-16. This adjustable stop provides a minimum gap width and therefore the thickness of the strip to be cast must be at least this thickness and as point 1 above suggests the movement apart of the rolls, it is less than the normal strip casting thickness.
- Rotating the rolls in mutually opposite directions - paragraph 0004 lines 9-10.
- Pouring molten metal into the nip so as to form a casting pool of molten metal supported on the rolls above the nip - see paragraph 0026.

The citations, however, do not disclose the features of the start-up procedure of the apparatus they disclose. It would be implicit that the speed of rotation of the rolls was controlled to determine the casting thickness - see paragraph 0029 and paragraph 0003. There is, however, no disclosure and nor is it obvious to a person skilled in the art, that during start-up of the continuous casting, the initial strip produced has a thickness greater than the initial gap between the rolls and thus with the initial casting, the rolls are spread apart. Thus the claimed invention is novel and contains an inventive step.



parallel roll surfaces and an even gap during start up. However, when casting thin steel strip it has been found necessary to employ rolls with machined crowns. More specifically, in order to produce flat strip, the rolls must be machined with a negative crown, ie. the peripheral surface of each roll must have a smaller radius at its central part than at its ends, so that when the rolls undergo thermal expansion during casting they become generally flat so as to produce flat strip. The prior proposals involving an imposed gap control have generally not enabled successful start up with crowned rolls. The present invention provides an improved method in which the gap between the rolls during the casting start up is not imposed, but is responsive to the thickness of the metal being cast during the start up process. The invention makes it possible to use crowned rolls and also enables greater flexibility of casting speed control for optimisation of metal solidification conditions and rate of fill of the casting pool.

DISCLOSURE OF THE INVENTION

According to the invention there is provided a method of casting metal strip comprising:

holding a pair of chilled casting rolls in parallel relationships so as to form a nip between them and such that at least one of the rolls is moveable bodily and laterally relative to the other roll,

continuously biasing said one roll laterally toward the other roll,

setting an initial gap between the rolls at the nip which is less than the thickness of the strip to be cast,

rotating the rolls in mutually opposite directions such that the peripheral surfaces of the rolls travel downwardly at the nip between them,

pouring molten metal into the nip so as to form a casting pool of molten metal supported on the rolls above

the nip and so as to produce at the nip a cast strip delivered downwardly from the nip, the speed of rotation of the rolls being such that the strip is produced to a thickness which is greater than the initial gap between the rolls thereby to cause said one roll to move bodily away from the other roll against the continuous bias to increase the gap between the rolls to accommodate the thickness of the cast strip, and

continuing casting to produce strip at said thickness and with the gap between the rolls increased beyond the initial gap.

Preferably, the peripheral surfaces of the rolls are negatively crowned when cold by being formed at their midparts to a radius which is less than the radius of end parts of those surfaces, the initial gap being set such that the end parts of the peripheral surfaces of rolls are spaced apart by no more than 1.5mm.

Preferably, the initial spacing between the end parts of the rolls is in the range 0.2 to 1.4mm.

The radial negative crown for each roll, being the difference in radius of the midpart and said end parts of the roll surface, may be in the range of 0.1 to 1.5mm.

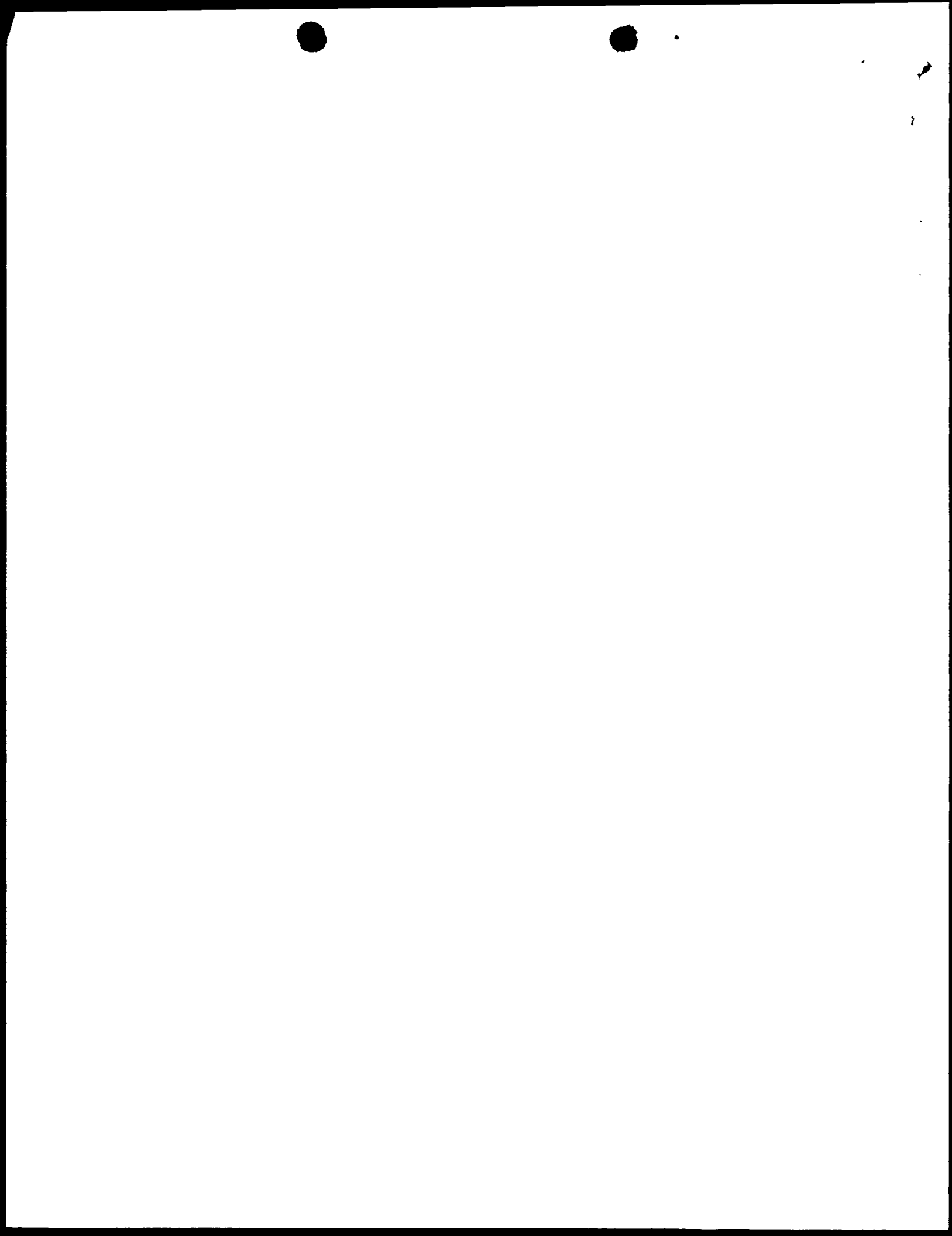
Preferably, said other roll is held against lateral bodily movement, said one roll is mounted on a pair of moveable roll carriers which allow said one roll to move bodily laterally of the other roll and said one roll is continuously biased laterally toward the other roll by application of biasing forces to the moveable roll carriers.

The initial gap between the rolls may be set by positioning of a stop means to limit bodily movement of said one roll toward the other. The stop means may for example be a stop which can be set to be engaged by one or both of the moveable roll carriers.

The biasing forces may be applied to the moveable roll carriers by means of biasing springs.

CLAIMS:

1. A method of casting metal strip comprising:
holding a pair of chilled casting rolls in
parallel relationship so as to form a nip between them and
5 such that at least one of the rolls is moveable bodily and
laterally relative to the other roll,
continuously biasing said one roll laterally
toward the other roll,
setting an initial gap between the rolls at the
10 nip which is less than the thickness of the strip to be
cast,
rotating the rolls in mutually opposite
directions such that the peripheral surfaces of the rolls
travel downwardly at the nip between them,
15 pouring molten metal into the nip so as to form a
casting pool of molten metal supported on the rolls above
the nip and so as to produce at the nip a cast strip
delivered downwardly from the nip, the speed of rotation of
the rolls being such that the strip is produced to a
20 thickness which is greater than the initial gap between the
rolls thereby to cause said one roll to move bodily away
from the other roll against the continuous bias to increase
the gap between the rolls to accommodate the thickness of
the cast strip, and
25 continuing casting to produce strip at said
thickness and with the gap between the rolls increased
beyond the initial gap.
2. A method as claimed in claim 1, wherein the
peripheral surfaces of the rolls are negatively crowned
30 when cold by being formed at their midparts to a radius
which is less than the radius of end parts of those
surfaces, the initial gap being set such that the end parts
of the peripheral surfaces of rolls are spaced apart by no
more than 1.5mm.
- 35 3. A method as claimed in claim 2, wherein the
spacing between the end parts of the rolls is in the range
0.5 to 1.4mm.



4. A method as claimed in claim 2 or claim 3, wherein the radial negative crown for each roll is in the range 0.1 to 1.5mm.

5. A method as claimed in any one of the preceding claims, wherein said other roll is held against lateral
5 bodily movement, said one roll is mounted on a pair of moveable roll carriers which allow said one roll to move bodily laterally of the other roll and said one roll is continuously biased laterally toward the other roll by
10 application of biasing forces to the moveable roll carriers.

6. A method as claimed in any one of the preceding claims, wherein the initial gap between the rolls is set by positioning of a stop means to limit bodily movement of
15 said one roll toward the other.

7. A method as claimed in claim 6, wherein the stop means is a stop which is set so as to be engaged by one or both of the moveable roll carriers.

(19) World Intellectual Property Organization
International Bureau



(43) International Publication Date
29 March 2001 (29.03.2001)

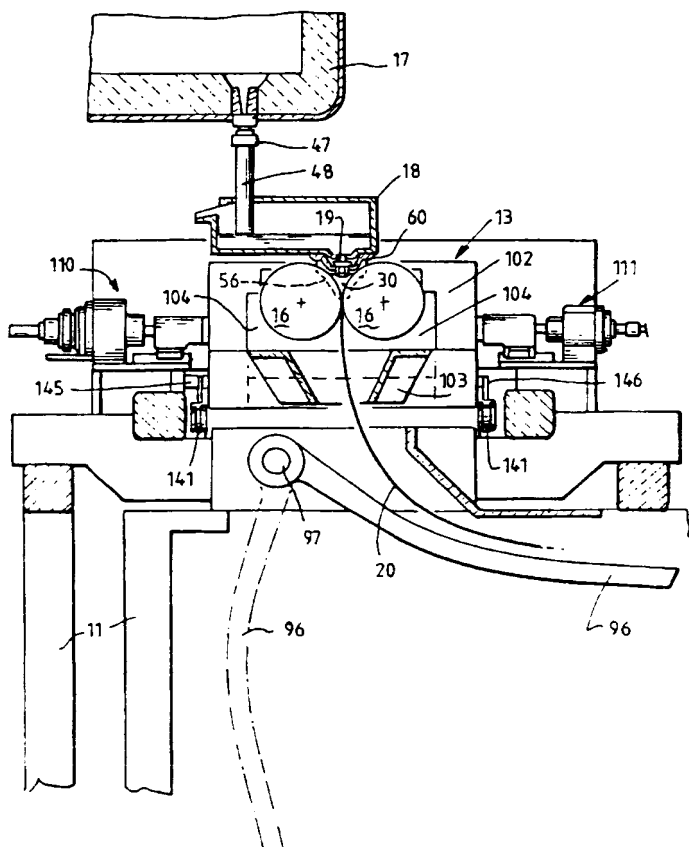
PCT

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PQ 2911 17 September 1999 (17.09.1999) AU
- (71) Applicants (for AU, ID, NZ, VN only): **ISHIKAWA-JIMA-HARIMA HEAVY INDUSTRIES COMPANY LIMITED** [JP/JP]; 2-1, Ohtemachi, 2-chome, Chiyoda-ku, Tokyo 100 (JP). **BHP STEEL (JLA) PTY LTD** [AU/AU]; 1 York Street, Sydney, NSW 2000 (AU).
- (71) Applicant (for all designated States except AU, ID, NZ, U.S., VN): **CASTRIP, LLC** [US/US]; c/o Nucor, 2100 Rexford Road, Charlotte, NC 28211 (US).
- (72) Inventors: and
- (75) Inventors/Applicants (for US only): **FUKASE, Hisahiko** [JP/JP]; 1-1-501, Meguro, 1-chome, Meguro-ku, Tokyo (JP). **OSADA, Shiro** [JP/JP]; 17-16, Nakao, 1-chome, Asahi-ku, Kanagawa (JP).
- (74) Agent: **GRIFFITH HACK**; 509 St Kilda Road, Melbourne, VIC 3004 (AU).
- (81) Designated States (national): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CR, CU, CZ, DE, DK, DM, DZ, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, TZ, UA, UG, US, UZ, VN, YU, ZA, ZW.

[Continued on next page]

(54) Title: STRIP CASTING



(57) Abstract: Start up method for initiating casting of metal strip in a twin roll caster comprising parallel casting rolls (16). A casting pool of molten metal is supported on the casting rolls and confined at the ends of the rolls by side closure plates (56) and the rolls are rotated to deliver cast strip downwardly from the nip between them. One roll (16) is continuously biased laterally toward the other roll (16) either by spring biasing units (110) or by hydraulic biasing units (11). On start up the gap between rolls (16) is set so as to be less than the thickness of the strip to be cast and the rolls are rotated at such speed that on pouring of molten metal to initiate casting strip is produced to a thickness which is greater than the initial gap between the rolls thereby to cause the biased roll (16) to move bodily away from the other roll to increase the gap between the rolls to accommodate the thickness of the cast strip. This allows initiation of casting without the need for introduction of a dummy bar between the rolls. The peripheral surfaces of rolls (16) may have a negative crown c and the initial gap at the centres of the rolls may be $d_0 = 2c + g_0$ where g_0 is an initial roll edge gap.

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(84) **Designated States** (*regional*): ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).

Published:

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For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

INTERNATIONAL SEARCH REPORT

International application No.

PCT/AU00/01133

A. CLASSIFICATION OF SUBJECT MATTER

Int. Cl. B22D 11/06

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHEDMinimum documentation searched (classification system followed by classification symbols)
B22D 11/06Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched
B22D 11/06Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)
Derwent**C. DOCUMENTS CONSIDERED TO BE RELEVANT**

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	EP 903190 A2 (ISHIKAWAJIMA-HARIMA HEAVY INDUSTRIES CO., LTD. et al) 24 March 1999 See Abstract	1-7
X	EP 903191 A2 (ISHIKAWAJIMA-HARIMA HEAVY INDUSTRIES CO., LTD. et al) 24 March 1999 See Abstract	1-7
A	Patent abstracts of Japan, JP11057953 A (ISHIKAWAJIMA-HARIMA HEAVY INDUSTRIES CO., LTD. et al) 2 March 1999 See Abstract	1-7

☐ Further documents are listed in the continuation of Box C
 ☒ See patent family annex

* Special categories of cited documents: "A" document defining the general state of the art which is not considered to be of particular relevance "E" earlier application or patent but published on or after the international filing date "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified) "O" document referring to an oral disclosure, use, exhibition or other means "P" document published prior to the international filing date but later than the priority date claimed		"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention "X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art "&" document member of the same patent family
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Date of the actual completion of the international search 11 October 2000	Date of mailing of the international search report 20 OCT 2000
Name and mailing address of the ISA/AU AUSTRALIAN PATENT OFFICE PO BOX 200, WODEN ACT 2606, AUSTRALIA E-mail address: pct@ipaaustralia.gov.au Facsimile No. (02) 6285 3929	Authorized officer ROGER HOWE Telephone No : (02) 6283 2159



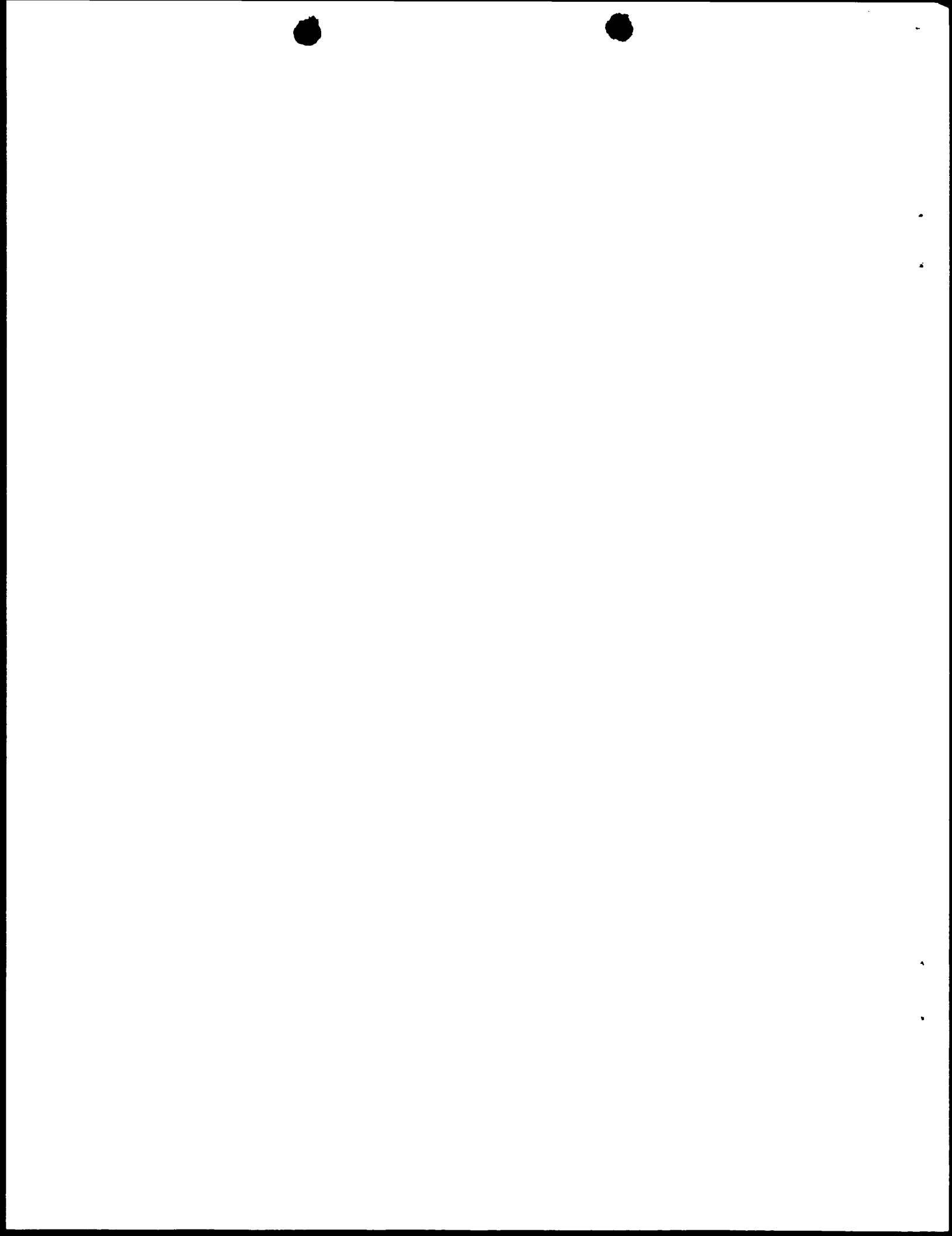
INTERNATIONAL SEARCH REPORT
Information on patent family members

International application No.
PCT/AU00/01133

This Annex lists the known "A" publication level patent family members relating to the patent documents cited in the above-mentioned international search report. The Australian Patent Office is in no way liable for these particulars which are merely given for the purpose of information.

Patent Document Cited in Search Report		Patent Family Member					
		(To put a line under the citations tab to the first point on the next row and press F8)					
EP	903190	AU	84244/98	AU	85185/98	AU	85199/98
		CN	1213594	EP	903191	EP	947261
		JP	11156493	JP	11156494	JP	11156495
EP	903191	AU	84244/98	AU	85185/98	AU	85199/98
		CN	1213594	EP	903190	EP	947261
		JP	11156493	JP	11156494	JP	11156495
JP	11057953	NONE					
							END OF ANNEX

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(12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(19) World Intellectual Property Organization
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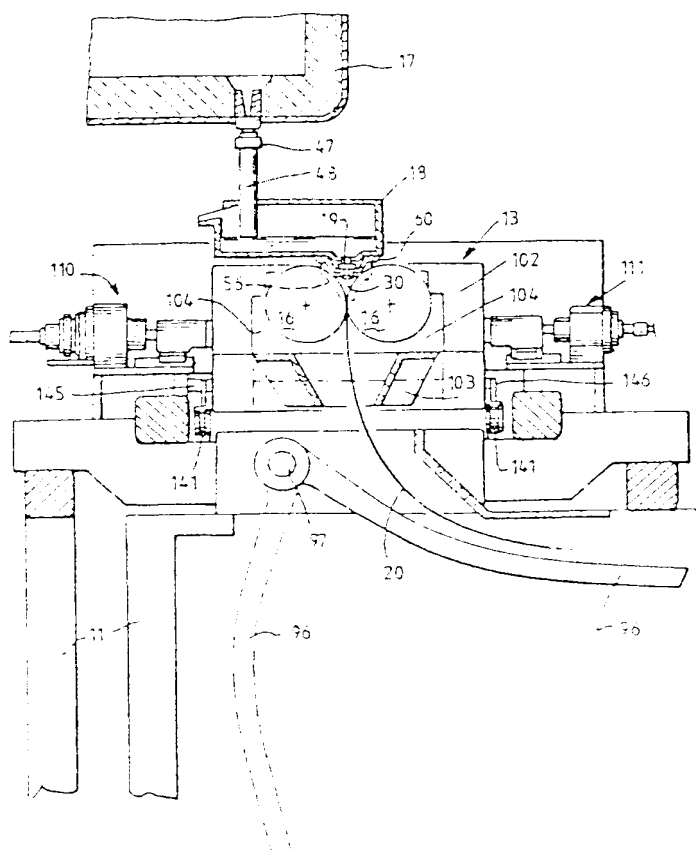
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- (51) International Patent Classification: B22D 11/06
- (71) Applicant *(for all designated States except AU, ID, NZ, US, JP):* CASTRIP, LLC [US/US]; c/o Nucor, 2100 Rexford Road, Charlotte, NC 28211 (US).
- (21) International Application Number: PCT/AU00/01133
- (72) Inventor: and
- (75) Inventors/Applicants *(for US only):* FUKASE, Hisahiko [JP/JP]; 1-1-101, Meguro, 1-chome, Meguro-ku, Tokyo (JP); OSADA, Shiro [JP/JP]; 17-16, Nasao, 1-chome, Arashi-ku, Kanagawa (JP).
- (22) International Filing Date:
18 September 2000 (18.09.2000)
- (25) Filing Language: English
- (26) Publication Language: English
- (74) Agent: GRIFFITH HACK; 509 St Kilda Road, Melbourne, VIC 3004 (AU).
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PQ 2911 17 September 1999 (17.09.1999) AU
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- (71) Applicants *(for AU, ID, NZ, VN only):* ISHIKAWA-JIMA-HARIMA HEAVY INDUSTRIES COMPANY LIMITED [JP/JP]; 2-1, Ohtemachi, 2-chome, Chiyoda-ku, Tokyo 100 (JP); BHP STEEL (JLA) PTY LTD [AU/AU]; 1 York Street, Sydney, NSW 2000 (AU).

[Continued on next page]

(54) Title: STRIP CASTING



(57) Abstract: Start up method for initiating casting of metal strip in a twin roll caster comprising parallel casting rolls (16). A casting pool of molten metal is supported on the casting rolls and confined at the ends of the rolls by side closure plates (56) and the rolls are rotated to deliver cast strip downwardly from the nip between them. One roll (16) is continuously biased laterally toward the other roll (16) either by spring biasing units (110) or by hydraulic biasing units (111). On start up the gap between rolls (16) is set so as to be less than the thickness of the strip to be cast and the rolls are rotated at such speed that on pouring of molten metal to initiate casting strip is produced to a thickness which is greater than the initial gap between the rolls thereby to cause the biased roll (16) to move bodily away from the other roll to increase the gap between the rolls to accommodate the thickness of the cast strip. This allows initiation of casting without the need for introduction of a dummy bar between the rolls. The peripheral surfaces of rolls (16) may have a negative crown and the initial gap at the centres of the rolls may be $L/420 + g_0$ where g_0 is an initial roll edge gap.



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(84) Designated States (*regional*): ARIPO patent (GH, GM, KP, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).

Published:

-- With international search report.

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

STRIP CASTING

TECHNICAL FIELD

5 This invention relates to the casting of metal strip by continuous casting in a twin roll caster.

10 In a twin roll caster molten metal is introduced between a pair of contra-rotated horizontal casting rolls which are cooled so that metal shells solidify on the moving roll surfaces and are brought together at the nip
15 between them to produce a solidified strip product delivered downwardly from the nip between the rolls. The term "nip" is used herein to refer to the general region at which the rolls are closest together. The molten metal may be poured from a ladle into a smaller vessel or series of
20 smaller vessels from which it flows through a metal delivery nozzle located above the nip so as to direct it into the nip between the rolls, so forming a casting pool of molten metal supported on the casting surfaces of the rolls immediately above the nip and extending along the
25 length of the nip. This casting pool is usually confined between side plates or dams held in sliding engagement with end surfaces of the rolls so as to dam the two ends of the casting pool against outflow, although alternative means such as electromagnetic barriers have also been proposed.

30 The initiation of casting in a twin roll caster presents significant problems, particularly when casting steel strip. On start-up it is necessary to establish a casting pool supported on the rolls. When steady state casting has been established the gap at the nip between the
35 rolls is closed by the solidified strip, but on start-up the molten metal can fall through the gap without solidifying properly and it may then become impossible to produce a coherent strip. Previously, it has been thought necessary to introduce a dummy bar between the casting
rolls on start-up so as to block the gap between the rolls while establishing the casting pool and to withdraw the dummy bar with the leading end of the solidified strip as

it forms. The need to introduce a dummy bar slows the initial set up procedure preparatory to casting and this procedure must be repeated if a cast is aborted for any reason and it is necessary to restart casting. This is a particular problem when casting steel where the molten metal is at very high temperatures and the refractory components of the metal delivery system must be preheated to high temperature and brought into assembly immediately prior to casting and the molten metal poured within a very short time interval before the refractories can cool significantly. A start up procedure to initiate casting in a twin roll caster without the use of a dummy bar would enable casting to be restarted immediately after an interrupted or aborted cast without the need for extensive resetting of the caster apparatus.

Japanese Patent Publications JP 59215257A and JP 1133644A both disclose proposals for enabling start up of casting in a twin roll caster without the use of a dummy bar. Both of these proposals require an imposed gap variation during start up and a corresponding control of roll speed directed solely to providing a match between the gap and the thickness of the solidified steel shells at the nip in order to close the nip to establish a casting pool. In the proposal disclosed in JP 59215257A start up commences with a small roll gap and casting is started at relatively high roll speed to produce a strip thinner than required. A regular increase in roll gap is then imposed and the speed of the rolls is reduced in order to match an increase in strip thickness with the imposed roll gap variation. In the proposal disclosed in JP 1133644A start up commences with a relatively wide roll gap to enable flow over the rolls to be stabilised and the roll gap is then reduced to allow build up of a casting pool following which the roll gap is increased to produce a strip of the required thickness. Matching an imposed roll gap with an actual thickness of solidifying metal is extraordinarily difficult. Moreover, these proposals assume substantially

parallel roll surfaces and an even gap during start up. However, when casting thin steel strip it has been found necessary to employ rolls with machined crowns. More specifically, in order to produce flat strip, the rolls must be machined with a negative crown, ie. the peripheral surface of each roll must have a smaller radius at its central part than at its ends, so that when the rolls undergo thermal expansion during casting they become generally flat so as to produce flat strip. The prior proposals involving an imposed gap control have generally not enabled successful start up with crowned rolls. The present invention provides an improved method in which the gap between the rolls during the casting start up is not imposed, but is responsive to the thickness of the metal being cast during the start up process. The invention makes it possible to use crowned rolls and also enables greater flexibility of casting speed control for optimisation of metal solidification conditions and rate of fill of the casting pool.

20

DISCLOSURE OF THE INVENTION

According to the invention there is provided a method of casting metal strip comprising:

holding a pair of chilled casting rolls in parallel relationships so as to form a nip between them and such that at least one of the rolls is moveable bodily and laterally relative to the other roll,

continuously biasing said one roll laterally toward the other roll,

setting an initial gap between the rolls at the nip which is less than the thickness of the strip to be cast,

rotating the rolls in mutually opposite directions such that the peripheral surfaces of the rolls travel downwardly at the nip between them,

pouring molten metal into the nip so as to form a casting pool of molten metal supported on the rolls above

the nip and so as to produce at the nip a cast strip delivered downwardly from the nip, the speed of rotation of the rolls being such that the strip is produced to a thickness which is greater than the initial gap between the rolls thereby to cause said one roll to move bodily away from the other roll against the continuous bias to increase the gap between the rolls to accommodate the thickness of the cast strip, and

continuing casting to produce strip at said thickness and with the gap between the rolls increased beyond the initial gap.

Preferably, the peripheral surfaces of the rolls are negatively crowned when cold by being formed at their midparts to a radius which is less than the radius of end parts of those surfaces, the initial gap being set such that the end parts of the peripheral surfaces of rolls are spaced apart by no more than 1.5mm.

Preferably, the initial spacing between the end parts of the rolls is in the range 0.2 to 1.4mm.

The radial negative crown for each roll, being the difference in radius of the midpart and said end parts of the roll surface, may be in the range of 0.1 to 1.5mm.

Preferably, said other roll is held against lateral bodily movement, said one roll is mounted on a pair of moveable roll carriers which allow said one roll to move bodily laterally of the other roll and said one roll is continuously biased laterally toward the other roll by application of biasing forces to the moveable roll carriers.

The initial gap between the rolls may be set by positioning of a stop means to limit bodily movement of said one roll toward the other. The stop means may for example be a stop which can be set to be engaged by one or both of the moveable roll carriers.

The biasing forces may be applied to the moveable roll carriers by means of biasing springs.

BRIEF DESCRIPTION OF THE DRAWINGS

In order that the invention may be more fully explained, the operation of one particular form of strip caster will be described in some detail with reference to the accompanying drawings in which:

Figure 1 is a vertical cross section through a strip caster operable in accordance with the present invention;

Figure 2 is an enlargement of part of Figure 1 illustrating important components of the caster;

Figure 3 is a longitudinal cross section through important parts of the caster;

Figure 4 is an end elevation of the caster;

Figures 5, 6 and 7 show the caster in varying conditions during casting and during removal of the roll module from the caster;

Figure 8 is a vertical cross-section through a roll biasing unit incorporating a roll biasing spring;

Figure 9 is a vertical cross-section through a roll biasing unit incorporating a pressure fluid actuator;

Figure 10 illustrates two typical roll surface profiles exhibiting negative crown;

Figure 11 diagrammatically illustrates the initial set up of two negatively crowned rolls when cold; and

Figure 12 shows the same two rolls when in hot condition during casting.

DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENT

The illustrated caster comprises a main machine frame 11 which stands up from the factory floor (not shown) and supports a casting roll module in the form of a cassette 13 which can be moved into an operative position in the caster as a unit but can readily be removed when the rolls are to be replaced. Cassette 13 carries a pair of parallel casting rolls 16 to which molten metal is supplied during a casting operation from a ladle (not shown) via a

tundish 17, distributor 18 and delivery nozzle 19 to create a casting pool 30. Casting rolls 16 are water cooled so that shells solidify on the moving roll surfaces and are brought together at the nip between them to produce a solidified strip product 20 at the roll outlet. This product may be fed to a standard coiler.

Casting rolls 16 are contra-rotated through drive shafts 41 from an electric motor and transmission mounted on the main machine frame. The drive shaft can be disconnected from the transmission when the cassette is to be removed. Rolls 16 have copper peripheral walls formed with a series of longitudinally extending and circumferentially spaced water cooling passages supplied with cooling water through the roll ends from water supply ducts in the roll drive shafts 41 which are connected to water supply hoses 42 through rotary glands 43. The roll may typically be about 500 mm diameter and up to 2000 mm long in order to produce strip product approximately the width of the rolls.

The ladle is of entirely conventional construction and is supported on a rotating turret whence it can be brought into position over the tundish 17 to fill the tundish. The tundish may be fitted with a sliding gate valve 47 actuatable by a servo cylinder to allow molten metal to flow from the tundish 17 through the valve 47 and refractory shroud 48 into the distributor 18.

The distributor 18 is also of conventional construction. It is formed as a wide dish made of a refractory material such as magnesium oxide (MgO). One side of the distributor 18 receives molten metal from the tundish 17 and the other side of the distributor 18 is provided with a series of longitudinally spaced metal outlet openings 52. The lower part of the distributor 18 carries mounting brackets 53 for mounting the distributor onto the main caster frame 11 when the cassette is installed in its operative position.

Delivery nozzle 19 is formed as an elongate body

made of a refractory material such as alumina graphite. Its lower part is tapered so as to converge inwardly and downwardly so that it can project into the nip between casting rolls 16. Its upper part is formed with outwardly
5 projecting side flanges 55 which locate on a mounting bracket 60 which forms part of the main frame 11.

Nozzle 19 may have a series of horizontally spaced generally vertically extending flow passages to produce a suitably low velocity discharge of metal
10 throughout the width of the rolls and to deliver the molten metal into the nip between the rolls without direct impingement on the roll surfaces at which initial solidification occurs. Alternatively, the nozzle may have a single continuous slot outlet to deliver a low velocity
15 curtain of molten metal directly into the nip between the rolls and/or it may be immersed in the molten metal pool.

The pool is confined at the ends of the rolls by a pair of side closure plates 56 which are held against stepped ends 57 of the rolls when the roll cassette is in
20 its operative position. Side closure plates 56 are made of a strong refractory material, for example boron nitride, and have scalloped side edges to match the curvature of the stepped ends of the rolls. The side plates can be mounted in plate holders 82 which are movable by actuation of a
25 pair of hydraulic cylinder units 83 to bring the side plates into engagement with the stepped ends of the casting rolls to form end closures for the molten pool of metal formed on the casting rolls during a casting operation.

During a casting operation the sliding gate valve
30 47 is actuated to allow molten metal to pour from the tundish 17 to the distributor 18 and through the metal delivery nozzle 19 whence it flows onto the casting rolls. The head end of the strip product 20 is guided by actuation of an apron table 96 to a pinch roll and thence to a
35 coiling station (not shown). Apron table 96 hangs from pivot mountings 97 on the main frame and can be swung toward the pinch roll by actuation of an hydraulic cylinder

unit (not shown) after the clean head end has been formed.

The removable roll cassette 13 is constructed so that the casting rolls 16 can be set up and the nip between them adjusted before the cassette is installed in position
5 in the caster. Moreover when the cassette is installed two pairs of roll biasing units 110, 111 mounted on the main machine frame 11 can be rapidly connected to roll supports on the cassette to provide biasing forces resisting separation of the rolls.

10 Roll cassette 13 comprises a large frame 102 which carries the rolls 16 and upper part 103 of the refractory enclosure for enclosing the cast strip below the nip. Rolls 16 are mounted on roll supports 104 which carry roll end bearings (not shown) by which the rolls are
15 mounted for rotation about their longitudinal axis in parallel relationship with one another. The two pairs of roll supports 104 are mounted on the roll cassette frame 102 by means of linear bearings 106 whereby they can slide laterally of the cassette frame to provide for bodily
20 movement of the rolls toward and away from one another thus permitting separation and closing movement between the two parallel rolls.

Roll cassette frame 102 also carries two adjustable spacers 107 disposed beneath the rolls about a
25 central vertical plane between the rolls and located between the two pairs of roll supports 104 so as to serve as stops limiting inward movement of the two roll supports thereby to define the minimum width of the nip between the rolls. As explained below the roll biasing units 110, 111
30 are actuatable to move the roll supports inwardly against these central stops but to permit outward springing movement of one of the rolls against preset biasing forces.

Each centralising spacer 107 is in the form of a worm or screw driven jack having a body 108 fixed relative
35 to the central vertical plane of the caster and two ends 109 which can be moved on actuation of the jack equally in opposite directions to permit expansion and contraction of

the jack to adjust the width of the nip while maintaining equidistance spacing of the rolls from the central vertical plane of the caster.

The caster is provided with two pairs of roll
5 biasing units 110, 111 connected one pair to the supports
104 of each roll 16. The roll biasing units 110 at one
side of the machine are fitted with helical biasing springs
112 to provide biasing forces on the respective roll
10 supports 104 whereas the biasing units 111 at the other
side of the machine incorporate hydraulic actuators 113.
The detailed construction of the biasing units 110, 111 is
illustrated in Figures 8 and 9. The arrangement is such as
to provide two separate modes of operation. In the first
mode the biasing units 111 are locked to hold the
15 respective roll supports 104 of one roll firmly against the
central stops 107 and the other roll is free to move
laterally against the action of the biasing springs 112 of
the units 110. In the alternative mode of operation the
biasing units 110 are locked to hold the respective
20 supports 104 of the other roll firmly against the central
stops and the hydraulic actuators 113 of the biasing units
111 are operated to provide servo-controlled hydraulic
biasing of the respective roll. For normal casting it is
possible to use simple spring biasing or servo-controlled
25 biasing.

The detailed construction of biasing units 110 is
illustrated in Figure 8. As shown in that figure, the
biasing unit comprises a spring barrel housing 114 disposed
within an outer housing 115 which is fixed to the main
30 caster frame 116 by fixing bolts 117.

Spring housing 114 is formed with a piston 118
which runs within the outer housing 115. Spring housing
114 can be set alternatively in an extended position as
illustrated in Figure 8 and a retracted position by flow of
35 hydraulic fluid to and from the cylinder 118. The outer
end of spring housing 114 carries a screw jack 119 operated
by a geared motor 120 operable to set the position of a

spring reaction plunger 121 connected to the screw jack by a rod 130.

The inner end of the spring 112 acts on a thrust rod structure 122 which is connected to the respective roll support 104 through a load cell 125. The thrust structure is initially pulled into firm engagement with the roll support by a connector 124 which can be extended by operation of a hydraulic cylinder 123 when the biasing unit is to be disconnected.

When biasing unit 110 is connected to its respective roll support 104 with the spring housing 114 set in its extended condition as shown in Figure 8 the position of the spring housing and screw jack is fixed relative to the machine frame and the position of the spring reaction plunger 121 can be set to adjust the compression of the spring 112 and to serve as a fixed abutment against which the spring can react to apply thrusting force to the thrust structure 122 and directly onto the respective roll support 104. With this arrangement the only relative movement during casting operation is the movement of the roll support 104 and thruster structure 122 as a unit against the biasing spring. Accordingly the spring and the load cell are subjected to only one source of friction load and the load actually applied to the roll support can be very accurately measured by the load cell. Moreover, since the biasing unit acts to bias the roll support 104 inwardly against the stop it can be adjusted to preload the roll support with a required spring biasing force before metal actually passes between the casting rolls and that biasing force will be maintained during a subsequent casting operation.

The detailed construction of biasing units 111 is illustrated in Figure 9. As shown in that figure the hydraulic actuator 113 is formed by an outer housing structure 131 fixed to the machine frame by fixing studs 132 and an inner piston structure 133 which forms part of a thruster structure 134 which acts on the respective roll

support 104 through a load cell 137. The thruster structure is initially pulled into firm engagement with the roll support by a connector 135 which can be extended by actuation of a hydraulic piston and cylinder unit 136 when the thruster structure is to be disconnected from the roll support. Hydraulic actuator 113 can be actuated to move the thruster structure 134 between extended and retracted conditions and when in the extended condition to apply a thrust which is transmitted directly to the roll support bearing 104 through the load cell 137. As in the case of the spring biasing units 110, the only movement which occurs during casting is the movement of the roll support and the thruster structure as a unit relative to the remainder of the biasing unit. Accordingly, the hydraulic actuator and the load cell need only act against one source of friction load and the biasing force applied by the unit can be very accurately controlled and measured. As in the case of the spring loaded biasing units, the direct inward biasing of the roll supports against the fixed stop enables preloading of the roll supports with accurately measured biasing forces before casting commences.

For normal casting the biasing units 111 may be locked to hold the respective roll supports firmly against the central stops simply by applying high pressure fluid to the actuators 113 and the springs 112 of the biasing units 110 may provide the necessary biasing forces on one of the rolls. Alternatively, if the biasing units 111 are to be used to provide servo-controlled biasing forces, the units 110 are locked up by adjusting the positions of the spring reaction plungers 121 to increase the spring forces to a level well in excess of the roll biasing forces required for normal casting. The springs then hold the respective roll carriers firmly against the central stops during normal casting but provide emergency release of the roll if excessive roll separation forces occur.

Roll cassette frame 102 is supported on four wheels 141 whereby it can be moved to bring it into and out

of operative position within the caster. On reaching the operative position the whole frame is lifted by operation of a hoist 143 comprising hydraulic cylinder units 144 and then located centrally in the machine.

5 In accordance with the present invention the centralised spacers or stops 107 are set prior to a casting operation so that at start-up the gap at the nip between casting rolls 16 is very much less than the thickness at which strip is to be cast. When casting thin steel strip,
10 the casting rolls are subjected to molten steel at temperatures in excess of 1200°C and they therefore undergo significant thermal expansion or bulging under casting conditions. They are accordingly machined with substantial negative crown so as to expand to a generally parallel
15 cylindrical shape under the casting conditions. This negative crown must be allowed for when setting the initial gap between the rolls.

Figure 10 illustrates two typical roll profiles, both exhibiting a negative crown which end parts of the
20 rolls of a radius of the order of 450 microns or 0.4mm greater than the radius of the peripheral surface at the midpoint of the roll. The crown will typically be $0.4\text{mm} \pm 0.3\text{mm}$ for a wide range of possible strip widths and roll diameters. A typical roll may be 500mm in diameter to
25 produce a strip 1300mm wide. The crown is significant only at the ends of the rolls and is relatively large compared with the typical casting strip thickness of the order of 0.5 to 5mm.

Figure 11 diagrammatically illustrates the
30 initial setting of the roll gap with the rolls in cold condition and accordingly having a negative crown c . The initial gap at the centre of the rolls is $d_0 = 2c + g_0$ where c is the radial crown of each roll and g_0 is the roll edge gap. The roll edge gap g_0 is set between a minimum
35 value which ensures that the rolls do not come into accidental or uneven contact and a maximum value which ensures that the molten metal cannot drop freely through

the larger gap d_0 at the centre parts of the rolls which would prevent proper closing of the nip and a controlled fill of the casting pool. It has been found that to achieve smooth start up and satisfactory pool filling rate g_0 should preferably be between 0.5mm and 1.4mm in order to cast strip in the range 0.2 to 5mm thickness.

On start-up the rolls are rotated prior to pouring and molten metal is then poured into the nip between the rolls to establish the casting pool and to form a strip. Shells of solidified metal form on the two rolls and these are brought together at the nip to produce the cast strip.

The rate of solidification of the molten metal depends on the rate at which heat is extracted through the casting roll surfaces which in turn depends on the internal cooling system of the roll, the cooling water flow, the texture of the casting surfaces and the speed of the rolls. The speed of the rolls can be controlled during the start-up phase so as to allow rapid build up of molten metal in the casting pool, but also in accordance with the present invention to produce a strip thickness which is substantially greater than the initial gap set in between the rolls. The biased roll (either under spring biasing or hydraulic biasing depending on the mode of operation of the apparatus) then moves laterally under the influence of the relevant biasing units (110 or 111) to accommodate the formation of the strip at the increased thickness.

Because the initial gap setting is so narrow compared to the rate of delivery of molten metal to the nip and the rate of solidification required to produce the thicker strip, the pool fills quickly and the gap is quickly closed by solidified metal to allow a coherent strip to be established immediately without significant loss of metal and without excessive strip defects. During the start-up phase the casting surfaces of the rolls increase in temperature so that the shape varies to establish a final thermal condition, which is generally

flat, as shown in Figure 12. This may take of the order of 45 seconds and significantly affects the gap between the rolls. However, the final thickness of the strip and accordingly the gap between the rolls will be determined by the speed at which the rolls are rotated, the moving roll being free to move against the applied biasing forces to accommodate the thickness of the strip so produced. Accordingly, the roll speed can be varied during the start up procedure to allow filling of the pool and to establish a desired thickness of the cast strip. More specifically, the speed of rotation of the rolls is controlled as follows:

$$\begin{array}{llll} & V_0 d_0 & < & \alpha (V_p D + \Delta(Q)) & \text{Eq.1} \\ 15 & \alpha & > & 1.0 & \text{Eq.2} \end{array}$$

where

α factor
 20 V_p aimed production speed
 D aimed production thickness or roll centre gap
 $\Delta(Q)$ an incremental increase of the pouring from upstream to help initial pool fill

25 Physical meaning of this Eq.1, 2 are:

if $\alpha = 1$ and $V_0 d_0 = \alpha (V_p D + \Delta(Q))$, then the melt can barely start to fill the pool, because the distributor nozzles and level are matched to the production flow rate. Accordingly, the incremental flow rate increase $\Delta(Q)$ cannot prevent significant free drop through the gap.

If $\alpha = 2$ and $V_0 d_0 < \alpha (V_p D + \Delta(Q))$, then the pool is filled quickly such as in 5 seconds, depending the other parameters. That is, the pool is plugged by the melt without use of a dummybar at start up.

35 The value V_p & D are reflecting the actual solidification at the speed V_p and achieved thickness D at full aimed pool level, therefore sufficiently high α value

assures the fill up or plugging the roll nip initially by melt and then by solidified shell even under aimed full pool level, when the condition of Eq. 1, 2. are followed.

Most preferably, the α value is 2 ± 0.5 .

5 Once the pool is established to make full width strip to a thickness close to d_0 and roll thermal crowning to develop can almost flat gap in about 30 seconds, as seen in Figure 12. This causes radial expansion of the rolls to narrow the gap, so the solidified shells start to push the
10 biased rolls back even before the pool has completely filled.

 In a specific twin roll caster operated exclusively in accordance with the present invention the following conditions have applied:

15 Casting roll diameter 500mm
 Casting roll speed 15 m/minute
 Heat flux 14.5 Mw/m²
 Strip thickness 1.6-1.55mm
20 Roll gap at centre 1.3mm
 Roll crown 0.25mm (negative)
 Roll gap at edges 0.8mm

 Under the above conditions, it generally takes up
25 to about 5 seconds for the casting pool to be formed and a coherent strip to be established.

CLAIMS:

1. A method of casting metal strip comprising:
holding a pair of chilled casting rolls in
parallel relationship so as to form a nip between them and
5 such that at least one of the rolls is moveable bodily and
laterally relative to the other roll,
continuously biasing said one roll laterally
toward the other roll,
setting an initial gap between the rolls at the
10 nip which is less than the thickness of the strip to be
cast,
rotating the rolls in mutually opposite
directions such that the peripheral surfaces of the rolls
travel downwardly at the nip between them,
15 pouring molten metal into the nip so as to form a
casting pool of molten metal supported on the rolls above
the nip and so as to produce at the nip a cast strip
delivered downwardly from the nip, the speed of rotation of
the rolls being such that the strip is produced to a
20 thickness which is greater than the initial gap between the
rolls thereby to cause said one roll to move bodily away
from the other roll against the continuous bias to increase
the gap between the rolls to accommodate the thickness of
the cast strip, and
25 continuing casting to produce strip at said
thickness and with the gap between the rolls increased
beyond the initial gap.
2. A method as claimed in claim 1, wherein the
peripheral surfaces of the rolls are negatively crowned
30 when cold by being formed at their midparts to a radius
which is less than the radius of end parts of those
surfaces, the initial gap being set such that the end parts
of the peripheral surfaces of rolls are spaced apart by no
more than 1.5mm.
- 35 3. A method as claimed in claim 2, wherein the
spacing between the end parts of the rolls is in the range
0.5 to 1.4mm.

4. A method as claimed in claim 2 or claim 3, wherein the radial negative crown for each roll is in the range 0.1 to 1.5mm.

5. A method as claimed in any one of the preceding claims, wherein said other roll is held against lateral bodily movement, said one roll is mounted on a pair of moveable roll carriers which allow said one roll to move bodily laterally of the other roll and said one roll is continuously biased laterally toward the other roll by application of biasing forces to the moveable roll carriers.

6. A method as claimed in any one of the preceding claims, wherein the initial gap between the rolls is set by positioning of a stop means to limit bodily movement of said one roll toward the other.

7. A method as claimed in claim 6, wherein the stop means is a stop which is set so as to be engaged by one or both of the moveable roll carriers.



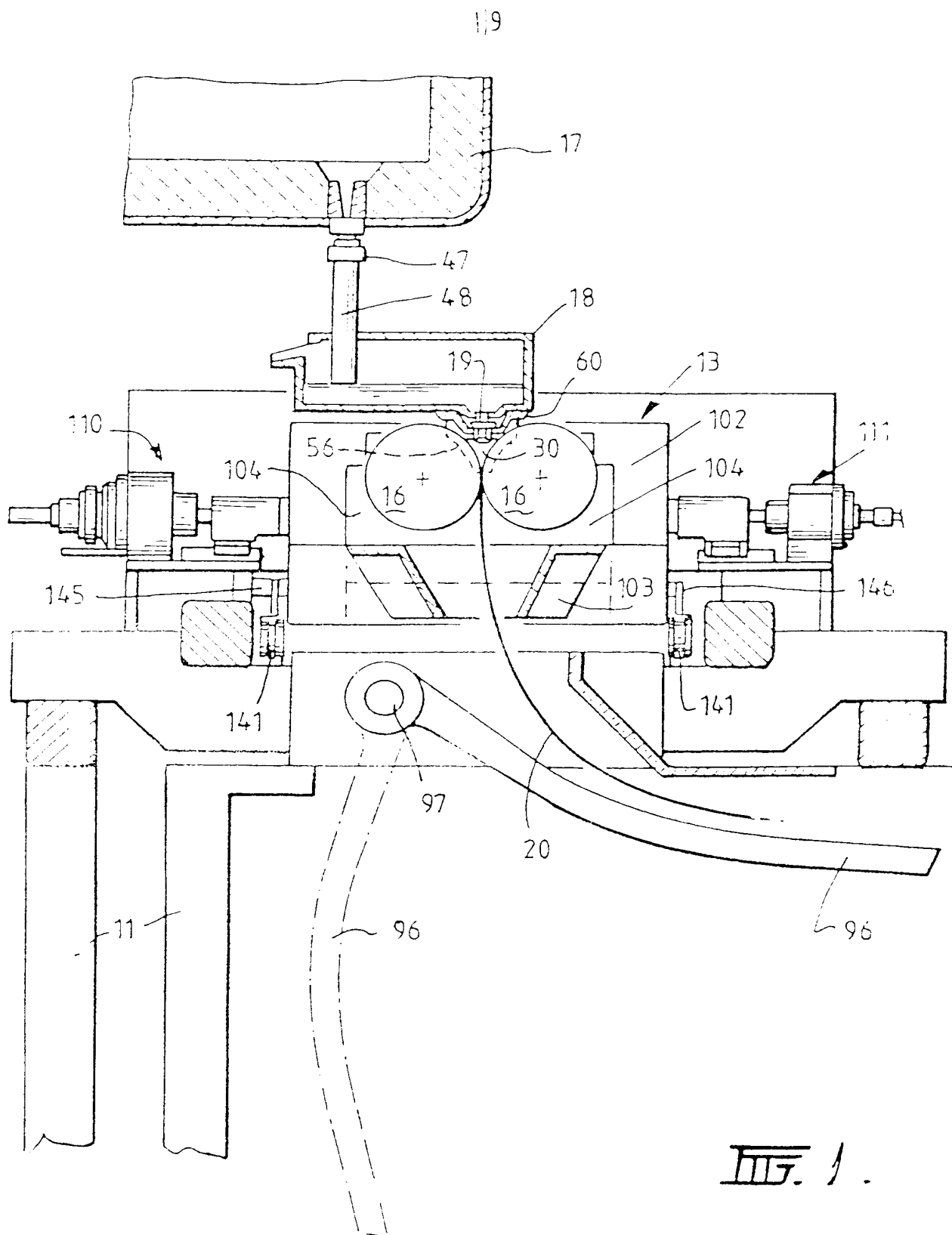
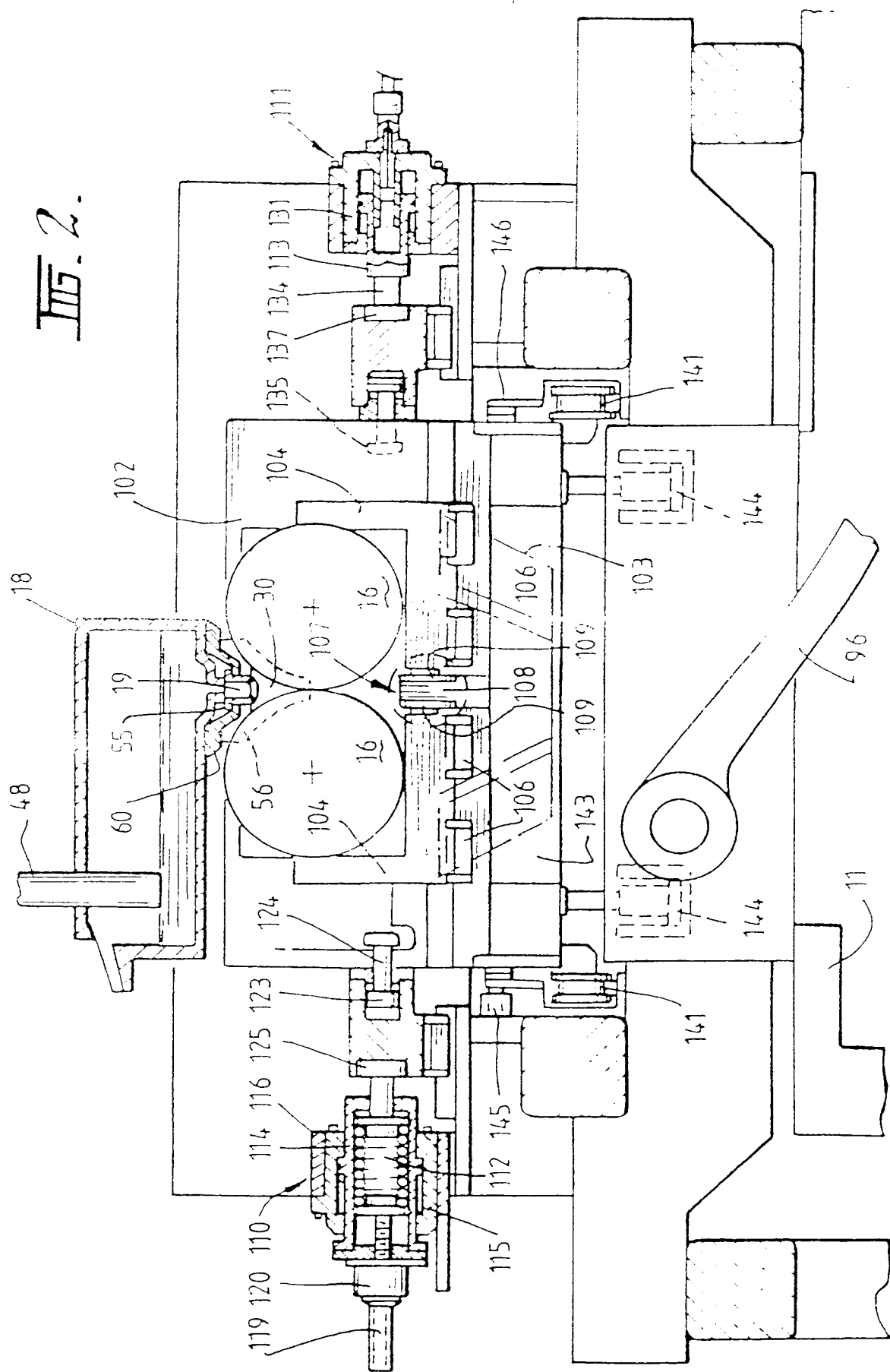


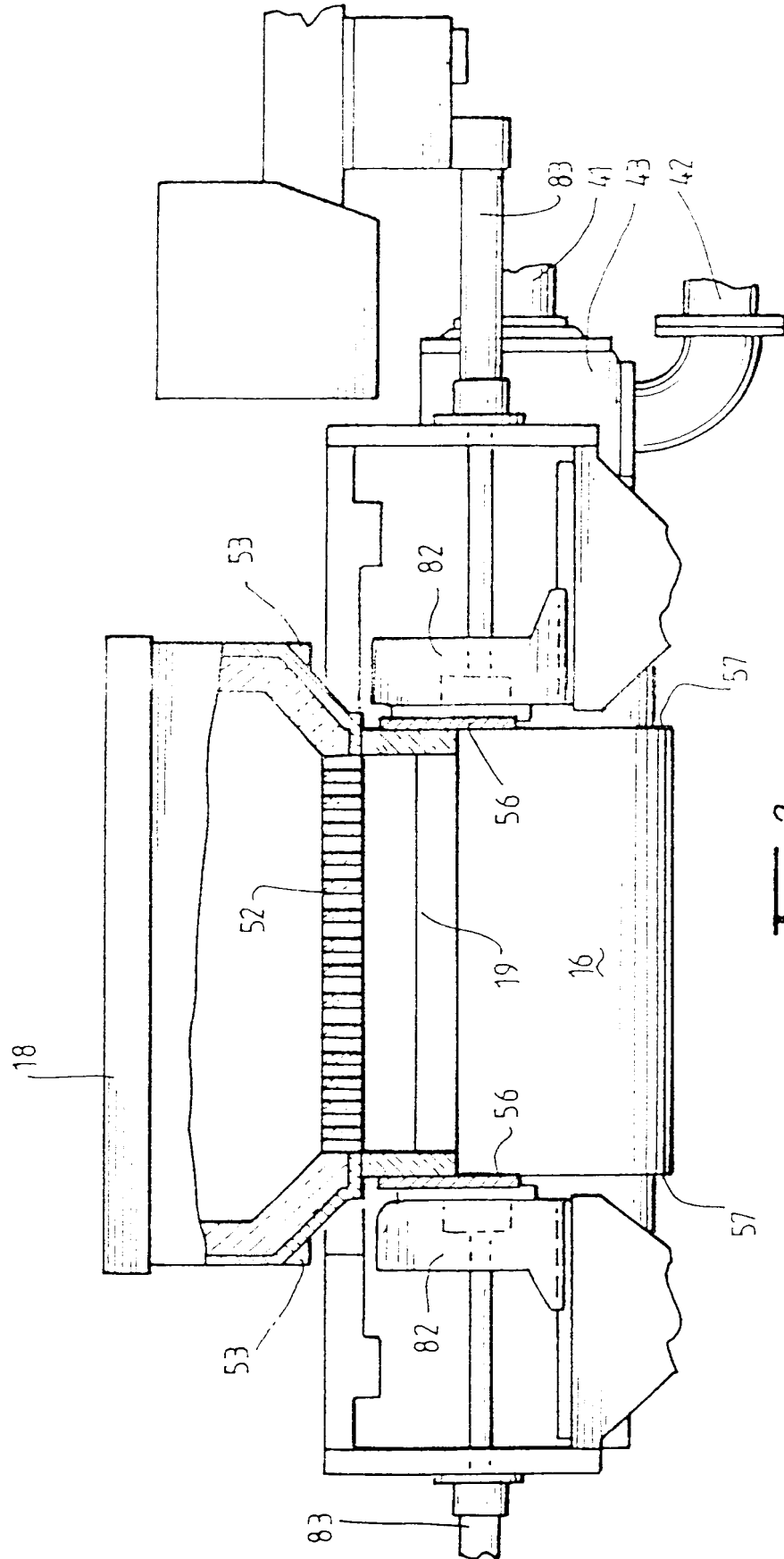
FIG. 1.



Fig. 2.



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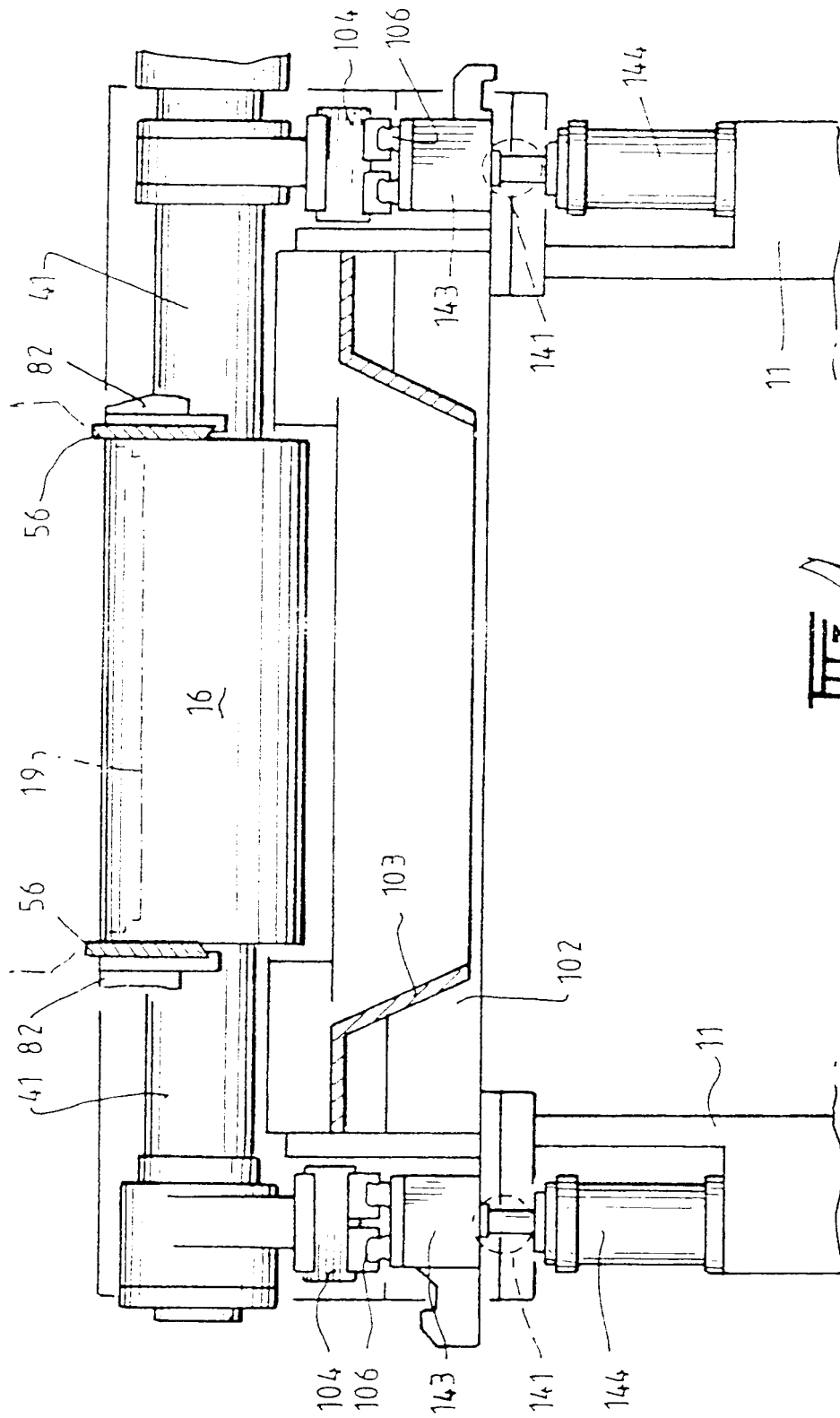


FIG. 4.

JC13 Rec'd POT/PTG 13 MAR 2002



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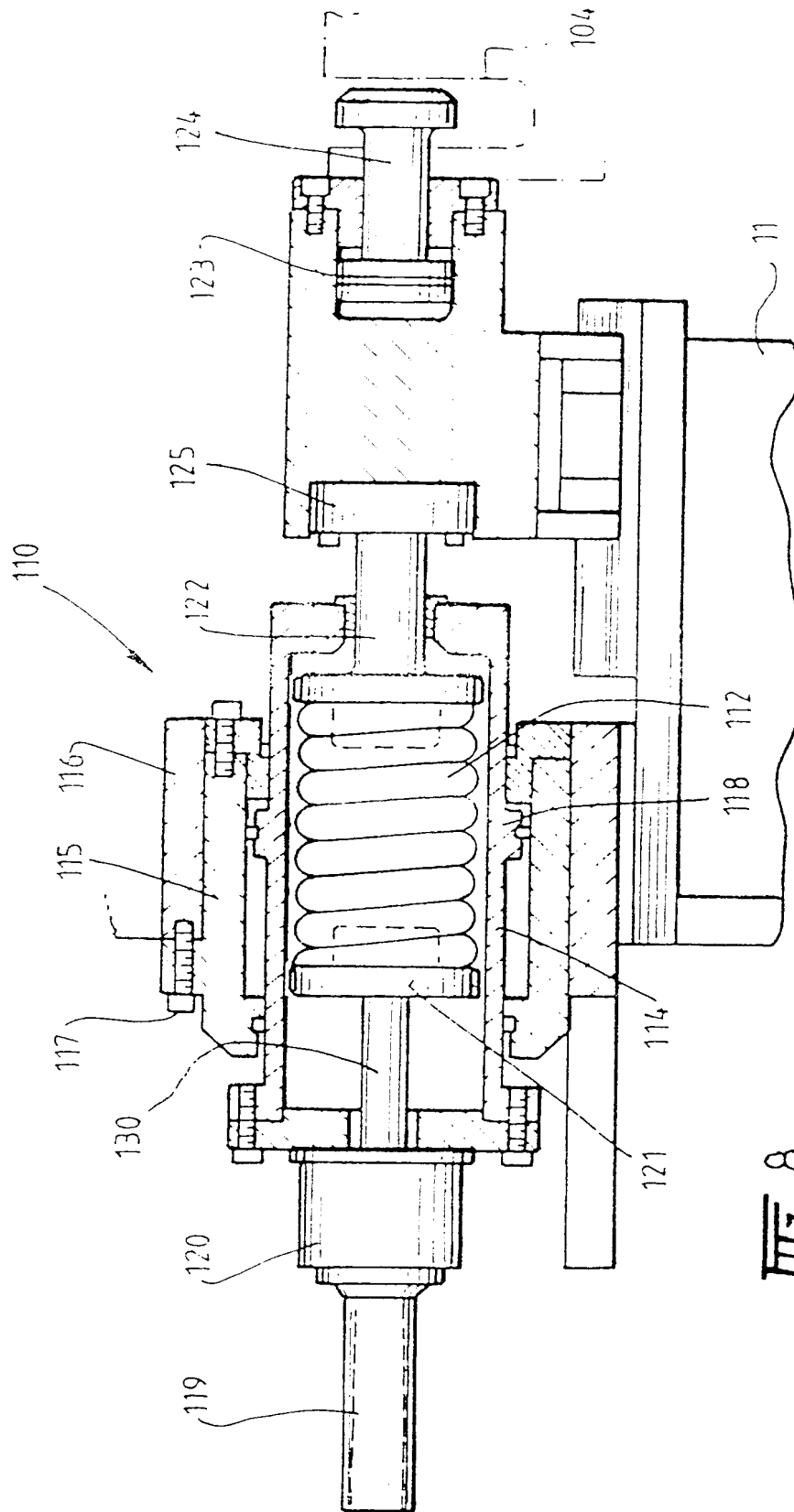
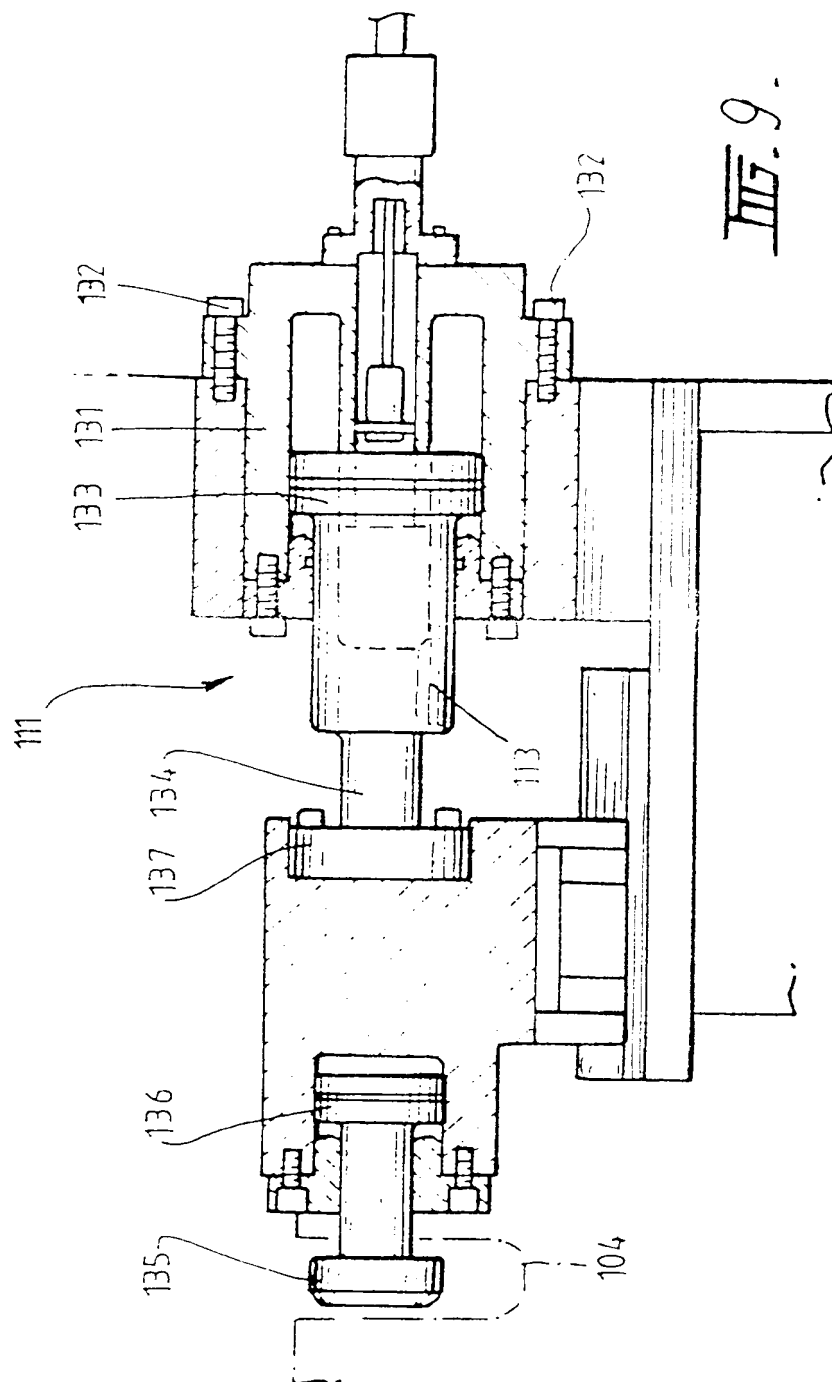


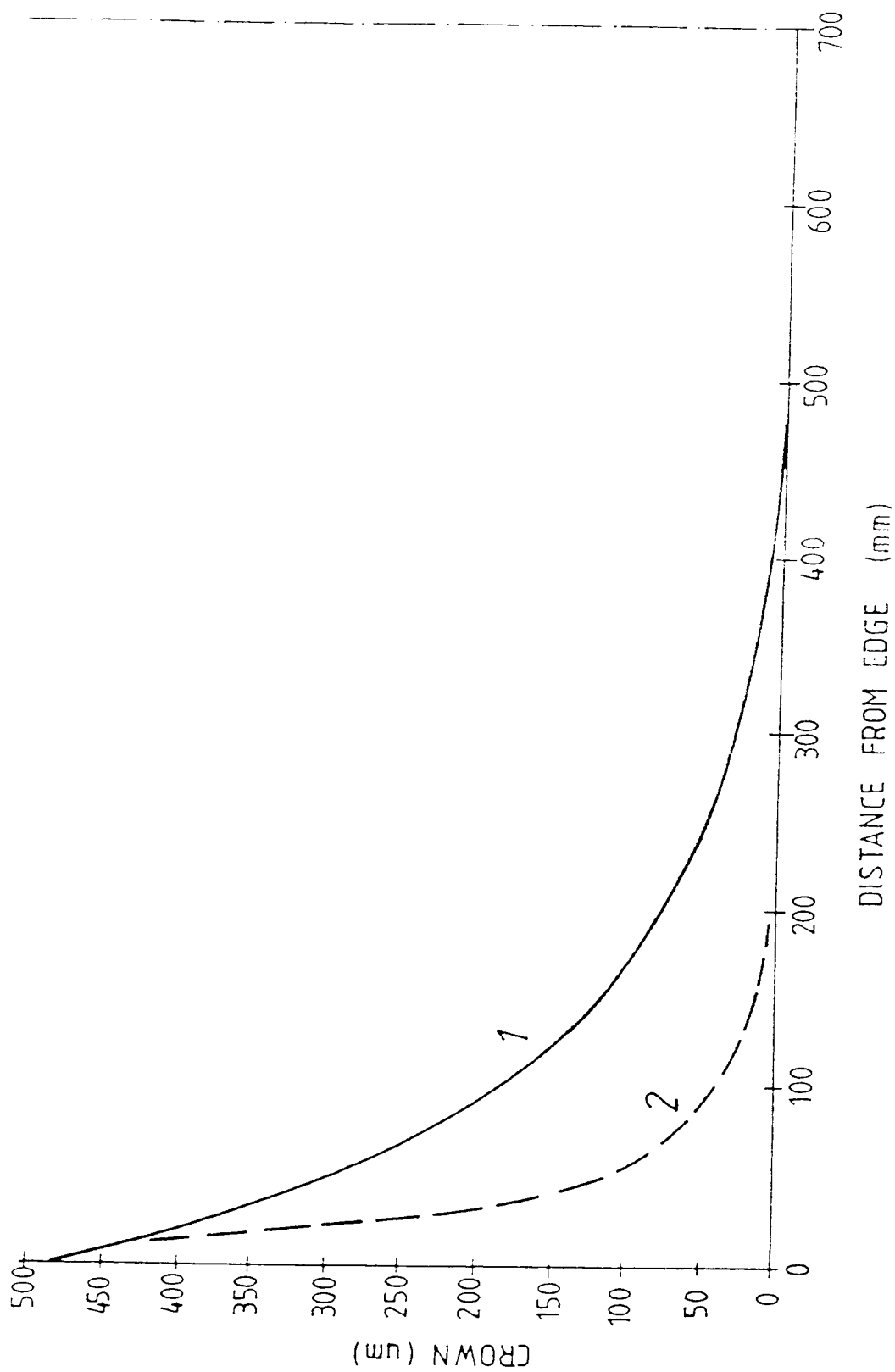
Fig. 8.

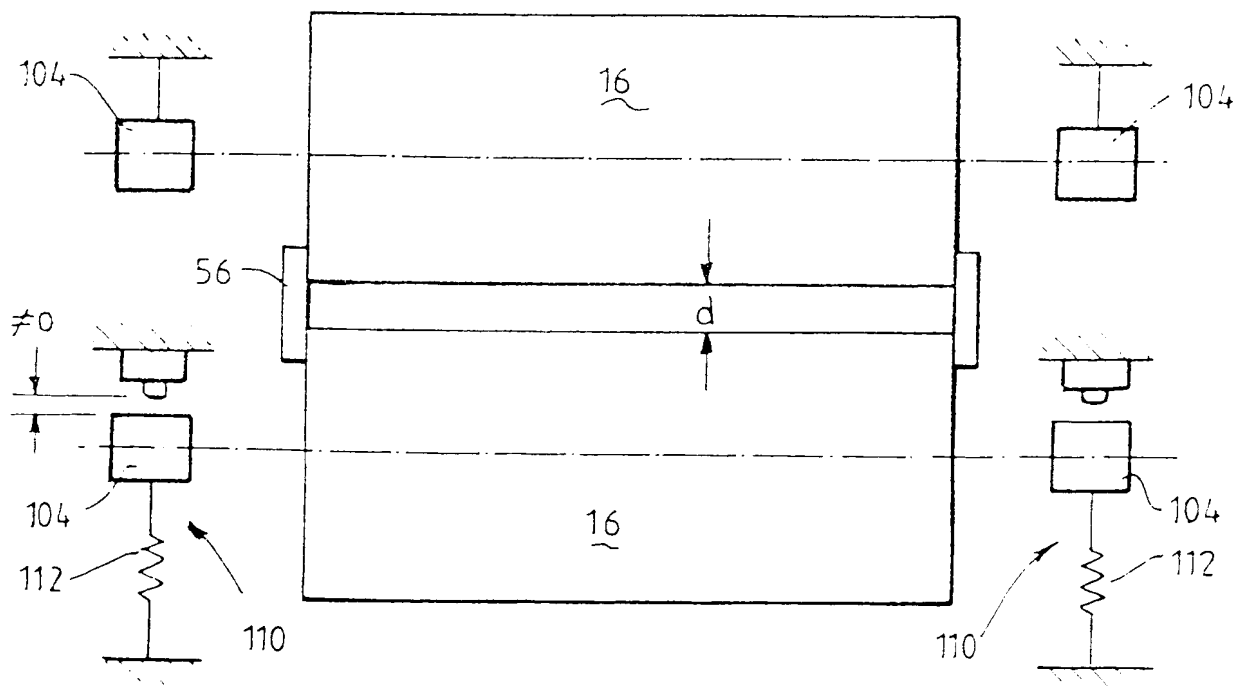
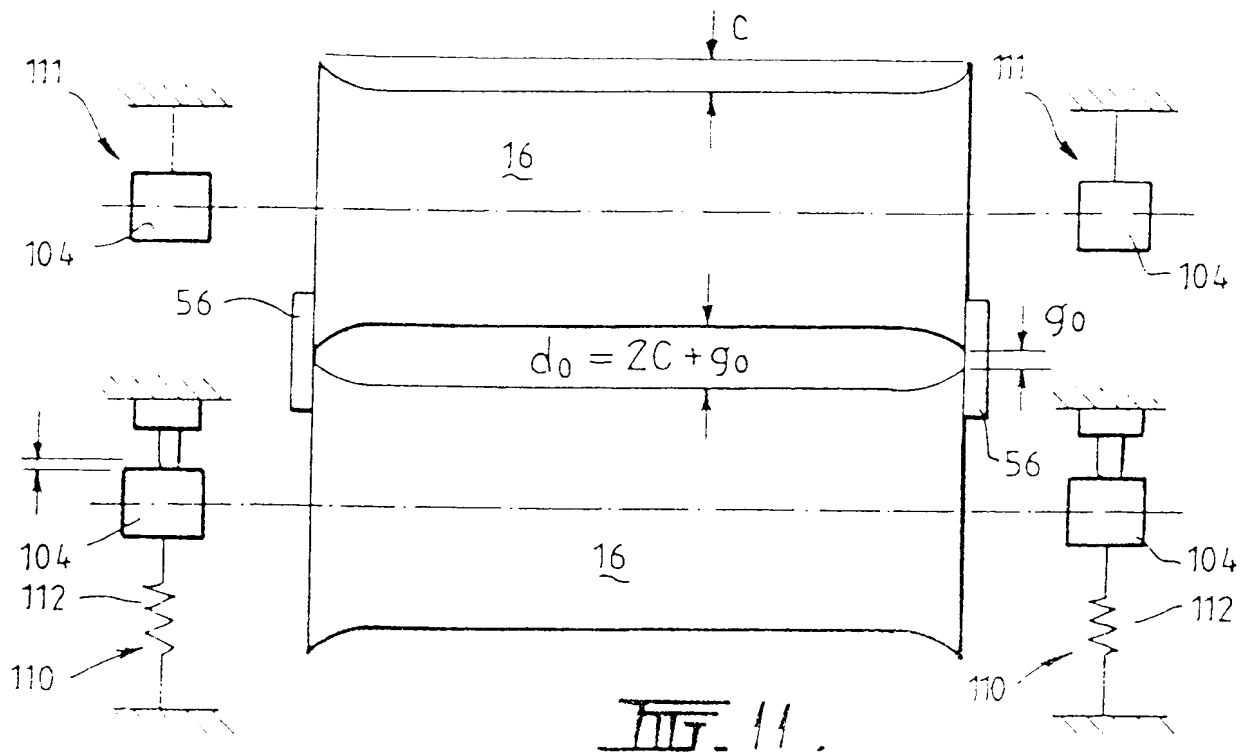
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Fig. 10.



INTERNATIONAL SEARCH REPORT

International application No.

PCT/AU00/01133

A. CLASSIFICATION OF SUBJECT MATTER

Int. Cl. B22D 11/06

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

B22D 11/06

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

B22D 11/06

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

Derwent

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	EP 903190 A2 (ISHIKAWAJIMA-HARIMA HEAVY INDUSTRIES CO., LTD. et al) 24 March 1999 See Abstract	1-7
X	EP 903191 A2 (ISHIKAWAJIMA-HARIMA HEAVY INDUSTRIES CO., LTD. et al) 24 March 1999 See Abstract	1-7
A	Patent abstracts of Japan, JP11057953 A (ISHIKAWAJIMA-HARIMA HEAVY INDUSTRIES CO., LTD. et al) 2 March 1999 See Abstract	1-7

☐ Further documents are listed in the continuation of Box C
 ☒ See patent family annex

* Special categories of cited documents:		"T"	later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
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"O"	document referring to an oral disclosure, use, exhibition or other means		
"P"	document published prior to the international filing date but later than the priority date claimed		

Date of the actual completion of the international search

11 October 2000

Date of mailing of the international search report

20 OCT 2000

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INTERNATIONAL SEARCH REPORT
Information on patent family members

International application No.
PCT/AU00/01133

This Annex lists the known "A" publication level patent family members relating to the patent documents cited in the above-mentioned international search report. The Australian Patent Office is in no way liable for these particulars which are merely given for the purpose of information.

Patent Document Cited in Search Report				Patent Family Member			
(To put a line under the citations tab to the first point on the next row and press F8)							
EP	903190	AU	84244/98	AU	85185/98	AU	85199/98
		CN	1213594	EP	903191	EP	947261
		JP	11156493	JP	11156494	JP	11156495
EP	903191	AU	84244/98	AU	85185/98	AU	85199/98
		CN	1213594	EP	903190	EP	947261
		JP	11156493	JP	11156494	JP	11156495
JP	11057953	NONE					
END OF ANNEX							

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